

introductory content : sheaf

def :  $\text{Spec}(R)$

Let  $R$  be a ring,  $\text{Spec}(R)$  is a collection of all the prime ideals in  $R$ ,  $\text{Spec}(R) = \{ p \subset R, p \text{ is prime} \}$

so if a prime ideal  $p$  in  $R$ ,  $p \in \text{Spec}(R)$ , denote as  $[p]$

def. category

a category  $\mathcal{C}$  consists of a collection of objects  $\text{Ob}(\mathcal{C})$ ; and for two objects  $A, B \in \text{Ob}(\mathcal{C})$ , a set  $\text{Mor}(A, B)$  called the set of morphisms of  $A$  into  $B$ ; and for three objects  $A, B, D$  satisfying a law of composition

$$\text{Mor}(B, D) \times \text{Mor}(A, B) = \text{Mor}(A, D)$$

satisfying the following axioms

1. two set  $\text{Mor}(A, B)$  and  $\text{Mor}(A', B')$  are disjoint unless  $A = A'$

and  $B = B'$ , in which they are equal

2. for each object  $A$  of  $\mathcal{C}$ , there is a morphism  $\text{id}_A \in \text{Mor}(A, A)$

which act as left and right identity for the elements of  $\text{Mor}(A, B)$  and  $\text{Mor}(B, A)$  respectively,  $\forall B \in \text{Ob}(\mathcal{C})$

3. law of composition is associative, Given  $f \in \text{Mor}(A, B)$ ,

$g \in \text{Mor}(B, D)$  and  $h \in \text{Mor}(D, E)$   $h \circ (g \circ f) = (h \circ g) \circ f$

## Def: functor

Let  $\mathcal{C}$  and  $\mathcal{C}'$  be categories. A functor  $F: \mathcal{C} \rightarrow \mathcal{C}'$  consist of the following data

1. a map between objects,  $F: \text{Ob}(\mathcal{C}) \rightarrow \text{Ob}(\mathcal{C}')$

2. for every  $A, B$  in  $\text{Ob}(\mathcal{C})$ , a map between morphisms

$$F: \text{Mor}_{\mathcal{C}}(A, B) \rightarrow \text{Mor}_{\mathcal{C}'}(F(A), F(B))$$

satisfying (1). for  $A \in \text{Ob}(\mathcal{C})$ , we have  $F(\text{id}_A) = \text{id}_{F(A)}$

(2):  $\forall A, B, D \in \text{Ob}(\mathcal{C})$ ,  $f \in \text{Mor}_{\mathcal{C}}(A, B)$ ,  $g \in \text{Mor}_{\mathcal{C}}(B, D)$ ,

$$\text{we have } F(f \circ g) = F(g) \circ F(f)$$

But if the functor  $F$  is **contravariant**, means

$$F: \text{Mor}_{\mathcal{C}}(A, B) \rightarrow \text{Mor}_{\mathcal{C}'}(F(B), F(A))$$

$\forall A, B, D \in \text{Ob}(\mathcal{C})$ ,  $f \in \text{Mor}_{\mathcal{C}}(A, B)$ ,  $g \in \text{Mor}_{\mathcal{C}}(B, D)$ , we have

$$F(f \circ g) = F(g) \circ F(f)$$

## Def: natural transformation

Let  $F, G: \mathcal{C} \rightarrow \mathcal{C}'$  be two functors. A natural transformation

$\eta: F \rightarrow G$  is a family of morphisms

$$\eta_A \in \text{Mor}_{\mathcal{C}'}(F(A), G(A))$$

$A \in \text{Ob}(\mathcal{C})$ , satisfying the following diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{\eta_A} & G(A) \\ F(f) & \downarrow & \downarrow G(f) \\ F(B) & \xrightarrow{\eta_B} & G(B) \end{array}$$

natural transformation

Def: R - module

Let  $R$  be a ring, and  $M$  is an abelian group, if there is a map

$$\begin{aligned}R \times M &\longrightarrow M \\(a, x) &\mapsto ax\end{aligned}$$

and satisfying the following properties

1.  $a(x+y) = ax + ay$

2.  $(a+b)x = ax + bx$  where  $x, y \in M$

3.  $1x = x$   $a, b, 1 \in R$

4.  $(ab)x = a(bx)$

we call  $M$  is a left  $R$ -module

Def: limit and colimit in category theory

Let  $(I, \leq)$  is a partially ordered set,  $\forall \lambda, \mu \in I$ , always exists  $\delta' \in I$  s.t.  $\lambda \leq \delta'$ ,  $\mu \leq \delta'$ , so  $I$  is called direct set

$J$  is a category, where  $Ob(J) = I$ ,  $\forall \lambda, \mu \in I$ , the morphism is

$Hom(\lambda, \mu) = \emptyset$ , when  $\lambda \not\leq \mu$ , and  $Hom(\lambda, \mu) = \text{singleton set}$

if  $M_R$  is a  $R$  module category, so functor  $F: J \rightarrow M_R$  defines

a family of  $R$ -module  $M_\lambda$

and a family of morphisms  $f_{\lambda\mu}$

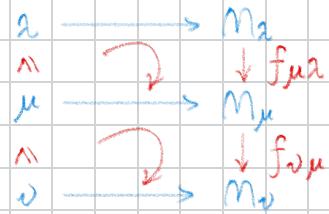
$$\begin{aligned}J &\longrightarrow M_R \\ \lambda &\mapsto M_\lambda\end{aligned}$$

$$f_{\lambda\mu} \in Hom_R(M_\lambda, M_\mu)$$

$$1. \forall \lambda \in I, f_{\lambda\lambda} = id_{M_\lambda}$$

$$2. \forall \lambda \leq \mu \leq \nu, f_{\nu\mu} \circ f_{\lambda\mu} = f_{\lambda\nu}$$

so  $\{M_\lambda, f_{\lambda\mu}\}$  is a direct system



so if we have a direct system  $\{M_\lambda, f_{\lambda\mu}\}$ , and there exists R module M and homomorphisms  $\{\varphi_\lambda: M_\lambda \rightarrow M\}$  satisfying

$$1. \forall \lambda \leq \mu, \varphi_\lambda = \varphi_\mu \circ f_{\lambda\mu}$$

2. if there is a R module N and

$\{\psi_\lambda: M_\lambda \rightarrow N\}$  homomorphism

$$\begin{array}{ccc} \lambda & \xrightarrow{F} & M_\lambda \\ \nwarrow & \downarrow f_{\lambda\mu} & \downarrow \\ \mu & \xrightarrow{F} & M_\mu \\ \nwarrow & \downarrow f_{\nu\mu} & \downarrow \\ \nu & \xrightarrow{F} & M_\nu \end{array}$$

$$\forall \lambda \leq \mu: \varphi_\lambda = \varphi_\mu \circ f_{\lambda\mu}, \text{ then } \exists!$$

R module homomorphism  $g: M \rightarrow N$

so M is the colimit / direct limit of  
the direct system  $\lim_{\longrightarrow} M_\lambda = M$

$$\begin{array}{ccccc} & & \varphi_\mu & & M \\ & & \swarrow f_{\lambda\mu} & \searrow & \\ M_\lambda & \xrightarrow{\quad} & M_\mu & \xrightarrow{\quad} & M \\ & & \swarrow f_{\nu\mu} & \searrow & \\ & & M_\nu & \xrightarrow{\quad} & M \\ & & \swarrow f_{\lambda\nu} & \searrow & \\ & & M_\lambda & \xrightarrow{\quad} & N \\ & & \swarrow & \searrow & \\ & & \varphi_\lambda & & N \end{array}$$

def: presheaf

Let X be a topological space. A presheaf  $\mathcal{F}$  on X consist

1. for all open  $U \subset X$ , a set  $\mathcal{F}(U)$  is an Abelian group
2. whenever  $U \subset V \subset X$ , a map  $\text{res}_{VU}: \mathcal{F}(V) \rightarrow \mathcal{F}(U)$

called the restriction map, satisfying

$$3. \text{res}_{UU} = id_U$$

4. if  $U \subset V \subset W$ , then  $\text{res}_{VU} \circ \text{res}_{WV} = \text{res}_{WU}$

a presheaf is a contravariant functor

If in category of open subsets of  $X$ , we have a correspondent category of set, so presheaf is regarded as a functor  $\mathcal{F}$

$\forall U, V \subset X$ , and they are open.  $\text{Mor}(U, V) = \{\text{id}_{UV}, U \subset V\}$

$\text{Mor}(U, V) \rightarrow \text{Mor}(\mathcal{F}(V), \mathcal{F}(U))$  contravariant functor

let  $\mathcal{G}$  is another presheaf, so we have natural transformation  $\alpha$

$\forall U$  open in  $X$

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\alpha_U} & \mathcal{G}(U) \\ \uparrow \text{res}_{VU} & \curvearrowright & \uparrow \text{res}_{VU} \\ \mathcal{F}(V) & \xrightarrow{\alpha_V} & \mathcal{G}(V) \end{array}$$

def: sheaf  $\mathcal{F}(U)$  or remote  $T(U, \mathcal{F})$

a presheaf  $\mathcal{F}$  is a sheaf if for all open set  $V \subset X$ , and all open coverings  $\{U_\alpha\}_{\alpha \in I}$  of  $V$ , the two properties hold

1. (Identity axiom) If  $s, s_2 \in \mathcal{F}(V)$  and  $\text{res}_{VU_\alpha}(s_1) = \text{res}_{VU_\alpha}(s_2)$

in each set  $\mathcal{F}(U_\alpha)$ , then  $s_1 = s_2$       local  $\rightarrow$  global

2. (Glueability axiom) If  $s_\alpha \in \mathcal{F}(U_\alpha)$  is a set of elements s.t.

$\forall \alpha, \beta \in I$ ,  $\text{res}_{U_\alpha \cap U_\beta}(s_\alpha) = \text{res}_{U_\beta \cap U_\alpha}(s_\beta)$  in  $\mathcal{F}(U_\alpha \cap U_\beta)$

then there exists a  $s \in \mathcal{F}(V)$  such that  $\forall \alpha : \text{res}_{VU_\alpha}(s) = s_\alpha$

def. stalk of sheaf at a point

If  $\mathcal{F}$  is a sheaf on  $X$  and  $x \in X$  we can form

$$\mathcal{F}_x = \varinjlim_{\substack{x \in U \\ \text{all open } U}} \mathcal{F}(U) = \{(s, U), U \text{ open in } X, x \in U, s \in \mathcal{F}(U, f)\} \sim$$

where  $(s, U) \sim (t, V)$  if  $\exists$  open  $W \subset U, W \subset V$  with  $x \in W$

s.t.  $\text{res}_{UW}(s) = \text{res}_{VW}(t)$

$U \subset X$  regard as index category ordered by inclusion,  $V \subset U$

$$\begin{array}{ccccc} \mathcal{F}(U) & \xrightarrow{\text{res}_{UV}} & \mathcal{F}(V) & \xrightarrow{\text{res}_{VW}} & \mathcal{F}(W) \\ & \searrow & \downarrow & \swarrow & \\ & P_{UW} & P_{UV} & P_{VW} & \\ & & & & \\ \mathcal{F}_x = \bigcup_{U \subset U} \mathcal{F}(U) & / \sim & & \text{direct limit} & \end{array} \quad \text{p.e.U, V, W}$$

def: germ

according to the definition of direct limit,  $\forall$  open set  $U \subset X$ ,

$\exists$  homomorphism  $P_{xU}: \mathcal{F}(U) \rightarrow \mathcal{F}_x$ , for  $x \in V \subset U$

satisfying  $P_{xV} \circ \text{res}_{UV} = P_{xU}$ , for section  $s \in \mathcal{F}(U)$

$P_{xU}(s) = s_x \in \mathcal{F}_x$ . We call  $s_x$  the germ of  $s$  of the sheaf  $\mathcal{F}$  at the point  $x$

def: section

$U$  is an open set in topological space and there is a sheaf  $\mathcal{F}$  on  $X$ ,  $s \in \mathcal{F}(U)$  means  $s$  is a section on  $U$

def: structure sheaf  $\rightarrow$  zero divisor

for all open set  $U \subset X$ ,  $\mathcal{O}_X(U)$  is a ring of regular functions.

$f$  is regular means  $\forall x \in U$ , exists a neighbourhood  $V$  st.  $x \in V \subset U$

on set  $V$ ,  $f = \frac{g}{h}$ , where  $g, h$  are two polynomials and  $h$  has not zeros on  $V$  (means  $f$  hasn't got poles on  $V$ )

def:  $\mathcal{O}_X$ -module sheaf

1.  $\mathcal{O}_X$ -module sheaf  $\mathcal{F}$  is a sheaf

2.  $\forall$  open set  $U \subset X$ ,  $\mathcal{F}(U)$  is a  $\mathcal{O}_X(U)$ -module

3.  $\forall$  all they are open in  $X$ ,  $\text{res}_{UV}: \mathcal{F}(U) \rightarrow \mathcal{F}(V)$

it is module homomorphism

def: tensor product

there are two  $R$ -module  $M, N$  and their tensor  $M \otimes_R N$  is a new  $R$  module

$$(am) \otimes n = a(m \otimes n), m \otimes (bn) = b(m \otimes n)$$

where  $a, b \in R, m \in M, n \in N$

two  $\mathcal{O}_X$  sheaves  $F, G$ , their tensor product  $F \otimes_{\mathcal{O}_X} G$  is a new sheaf, for all open set  $U \subset X$

$$(F \otimes_{\mathcal{O}_X} G)(U) := F(U) \otimes_{\mathcal{O}_X(U)} G(U)$$

def: line bundle / invertible sheaf  $\mathcal{O}_X(D)$ , divisor  $D$

a  $\mathcal{O}_X$ -module sheaf  $L$  is called invertible sheaf, if exists open coverings  $\{U_i\}_{i \in I}$  such that  $\forall i$ , on  $U_i$ , we have  $L|_{U_i} \cong \mathcal{O}_{X|U_i}$  locally

the invertible property:  $\exists L^{-1}$  such that  $L \otimes_{\mathcal{O}_X} L^{-1} \cong \mathcal{O}_X$

def: skyscraper sheaf

Let  $X$  be a topological space and  $x \in X$ , skyscraper sheaf  $\tilde{F}$   
open set  $U \subset X$ ,  $A$  is an algebraic structure (ie. field)

define stalk  $\tilde{F}_x(U) = \begin{cases} A, & \text{if } x \in U, \text{ let } A = \mathbb{C} \\ 0, & \text{if } x \notin U \end{cases}$

if  $x \in V \subset U$ ,  $\text{res}_{UV} = \text{id}_A$ , if  $x \notin V$ ,  $\text{res} = 0$  map  
to point  $p \in X$ .

$\tilde{F}_{(p)} = \begin{cases} \mathbb{C}, & \text{if and only if } p = x \\ 0, & \text{if } q \neq x \end{cases}$

## topics in homological algebra

def: complex chain  $C_\cdot = (C_n, \partial_n)$

R module homomorphic sequence

$$\partial_{n+1}: C_n \xrightarrow{\partial_n} C_{n-1}, \partial_{n-1}: C_{n-1} \xrightarrow{\partial_{n-2}} \dots \xrightarrow{\partial_1} C_1, \xrightarrow{\partial_0} C_0 \xrightarrow{\partial_0} 0$$

called R-module complex, if  $\partial_{n-1}\partial_n = 0$ , where  $\partial_n$  is called differential

Let  $C_\cdot (C_n, \partial_n)$  and  $D_\cdot (D_n, \delta_n)$  to be two R module complex  
if there is a R module homomorphism  $\varphi_n: C_n \rightarrow D_n$

$$\begin{array}{ccc} C_n & \xrightarrow{\partial_n} & C_{n-1} \\ \varphi_n \downarrow \quad \curvearrowright \quad \downarrow \varphi_{n-1} & & \\ D_n & \xrightarrow{\delta_n} & D_{n-1} \end{array} \quad \varphi_{n-1} \circ \partial_n = \delta_n \circ \varphi_n$$

$\varphi = \{\varphi_n\}$  is a morphism from complex  $C_\cdot$  to  $D_\cdot$ .

image:  $\text{Im } \partial_k = \{x \in C_{k-1} \mid \exists y \in C_k \text{ st. } x = \partial_k(y)\} = B_{k-1}$

kernal:  $\text{Ker } \partial_k = \{x \in C_k \mid \partial_k(x) = 0\} = Z_k$

want to show  $B_n \triangleleft Z_n$   $C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1}$

we know that  $B_n \subset Z_n$ . because of  $\partial_n \partial_{n+1} = 0$

and  $C_n$  is a R module, so including an Abelian group structure

def: homology group  $H_n(C) = \frac{Z_n}{B_n}$

def: exact chain

Let  $(C, \partial)$  be a chain complex, we call it exact, means

$$\forall n \in \mathbb{N}, \text{Ker}(\partial_n) = \text{Im}(\partial_{n+1}), \text{ as } B_n = Z_n$$

Lemma: Snake lemma

given a commutative diagram

then exists exact sequence

if rows  
are exact

$$\begin{array}{ccccccc} & & \text{Ker}f & \rightarrow & \text{Ker}g & \rightarrow & \text{Ker}h \\ & & \downarrow & & \downarrow & & \downarrow \\ A' & \xrightarrow{f} & B' & \xrightarrow{g} & C' & \xrightarrow{h} & 0 \\ \text{if rows } \\ \text{are exact} & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ 0 & \rightarrow & A & \xrightarrow{i} & B & \xrightarrow{j} & C \\ & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ & \text{Im}(f) = & \text{Cok}f & \rightarrow & \text{Cok}g & \rightarrow & \text{Cok}h \end{array}$$

)  $\partial$

$\partial(c_i) = i^{-1}g^{-1}(c_i)$

where  $c_i \in \text{Ker}(h)$

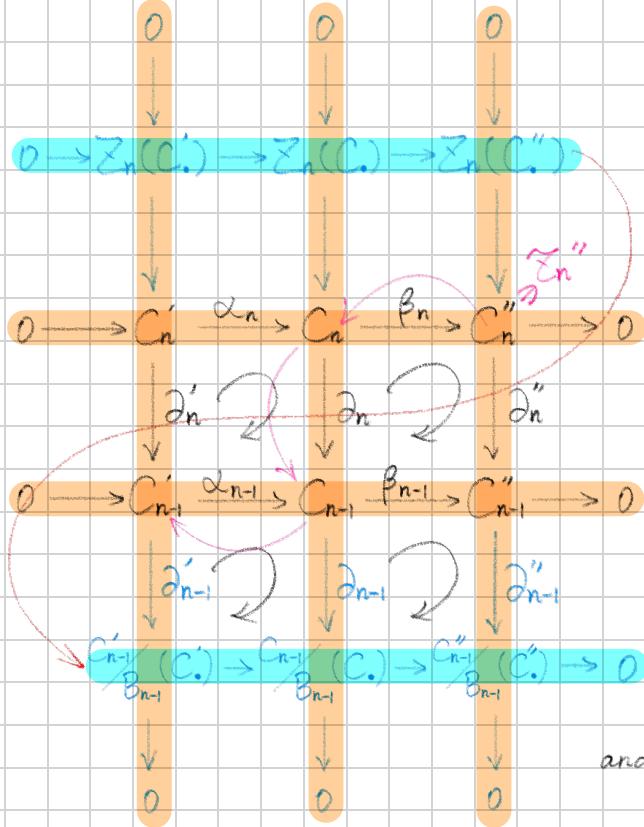
Moreover if  $A' \hookrightarrow B'$   $\Rightarrow \text{Ker}(f) \hookrightarrow \text{Ker}(g)$  injective

if  $B \twoheadrightarrow C \Rightarrow \text{Cok}(g) \twoheadrightarrow \text{Cok}(h)$  surjective

theorem

Let  $0 \rightarrow C_1 \xrightarrow{\alpha} C_2 \xrightarrow{\beta} C_3 \rightarrow 0$  is a short exact sequence

of algebraic complex with homomorphisms, so  $\forall n \in \mathbb{N}$ , we define a  $\mathbb{Z}$  module connecting homomorphism  $\Delta_n: H_n(C_3) \rightarrow H_{n-1}(C_1)$



two rows and three columns  
are exact, according to

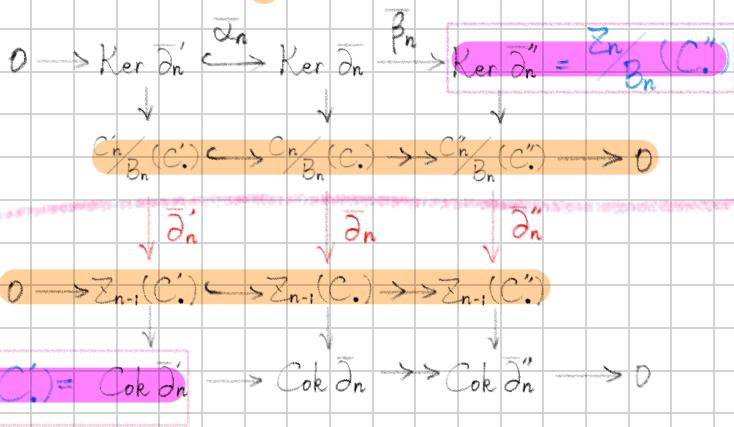
Snake lemma

we get the 2nd, 5th rows

are also exact

so we get a commutative  
diagram, where the two  
rows are exact

and then use Snake lemma again



noticed that  $\text{Ker } \bar{\alpha}_n \cong H_n(C)$ ,  $\text{Coker } \bar{\alpha}_n \cong H_{n-1}(C)$

so we get long exact sequence

$$H_n(C') \xrightarrow{\alpha_n} H_n(C) \xrightarrow{\beta_n} H_n(C'') \xrightarrow{\Delta_n} H_{n-1}(C') \xrightarrow{\alpha_{n-1}} H_{n-1}(C) \xrightarrow{\beta_{n-1}} H_{n-1}(C'')$$

$$\Delta_n: H_n(C'') \longrightarrow H_{n-1}(C') \text{ where } H_n(C'') = \frac{Z_n(C'')}{B_n(C'')}$$

$$Z_n'' + B_n(C'') \mapsto \partial_{n-1} \circ \partial_n \circ \beta_n^{-1}(Z_n'') + B_{n-1}(C')$$

there are two short exact sequence, and morphism  $\Psi$

$$\begin{array}{ccccccc} 0 & \longrightarrow & C'_- & \xrightarrow{\alpha} & C_- & \xrightarrow{\beta} & C''_- \\ & & \downarrow \Psi' & & \downarrow \Psi & & \downarrow \Psi'' \\ 0 & \longrightarrow & D'_- & \xrightarrow{\gamma} & D_- & \xrightarrow{\delta} & D''_- \\ & & & & & & \end{array} \longrightarrow 0$$

then we have

$$\begin{array}{ccccccc} \longrightarrow H_n(C'_-) & \xrightarrow{\partial_n} & H_n(C_-) & \xrightarrow{\beta_n} & H_n(C''_-) & \xrightarrow{\Delta_n} & H_{n-1}(C') \longrightarrow \\ \bar{\varphi}'_n \downarrow & \swarrow & \bar{\varphi}_n \downarrow & \swarrow & \bar{\varphi}''_n \downarrow & \swarrow & \bar{\varphi}_{n-1} \downarrow \\ \longrightarrow H_n(D'_-) & \xrightarrow{\partial_n} & H_n(D_-) & \xrightarrow{\delta_n} & H_n(D''_-) & \xrightarrow{\Delta_n} & H_{n-1}(D') \longrightarrow \end{array}$$

### Cech cohomology

Let  $\mathcal{U} = \{\mathcal{U}_i\}_{i \in I}$ ,  $(I, \leq)$  an index set, is an open covering of topological space.  $n+1$  index  $i_0, i_1, \dots, i_n$ , let  $s = [i_0, i_1, \dots, i_n]$  is regarded as a  $n$ -simplex, all this kind of simplex form a simplicial complex  $K_n(I)$

$$\text{so we have } C_n(K) \xrightarrow{\partial_n} C_{n-1}(K) \xrightarrow{\partial_{n-1}} \dots \xrightarrow{\partial_0} C_0(K) \xrightarrow{\partial_0} 0$$

where  $C_n(K)$  is a free Abelian group with a basis of simplex

$$\text{in } K_n(I), \text{ and } \partial_n([i_0, i_1, \dots, i_n]) = \sum_{j=0}^n (-1)^j [i_0, \dots, \hat{i_j}, \dots, i_n]$$

for  $n$ -simplex  $[i_0 i_1 \dots i_n]$ , open subset  $U_s = U_{i_0 \dots i_n} = \bigcap_{j=0}^n U_{i_j}$

Let  $\mathcal{F}$  is an Abelian group sheaf, let's define  $C^*(U, \mathcal{F})$

which is a duality complex of  $C_*(K)$

$$C^n(U, \mathcal{F}) = \prod_{i_0 < \dots < i_n} \mathcal{F}(U_{i_0 \dots i_n}) = \prod_{S \in K_n(I)} \mathcal{F}(U_S)$$

$$\text{so if } f \in C^n(U, \mathcal{F}), \quad \{f_{i_0 \dots i_n}\}_{i_0 < \dots < i_n} = \{f_S\}_{S \in K_n(I)}$$

$$f_S = f_{i_0 \dots i_n} \in \mathcal{F}(U_{i_0 \dots i_n}), \text{ for } c_n = \sum_{S \in K_n(I)} m_S \cdot S \in C_n(K), m_S \in \mathbb{Z}$$

$f \in C^n(U, \mathcal{F})$ ,  $c_n \in C_n(K)$  the duality product

$$(f, c_n) = \{m_S \cdot f_S\}_{S \in K_n(I)} \in C^n(U, \mathcal{F}), \text{ with the definition of}$$

duality product, the upper boundary map  $d^n : C^n(U, \mathcal{F}) \rightarrow C^{n+1}(U, \mathcal{F})$

$$\text{such that } (d^n f, c_{n+1}) = (f, \partial_{n+1} c_{n+1}), f_{i_0 \dots \hat{i}_j \dots i_{n+1}} \in \mathcal{F}(U_{i_0 \dots \hat{i}_j \dots i_{n+1}})$$

$$(d^n f)_{i_0 \dots i_{n+1}} = \sum_{j=0}^{n+1} (-1)^j f_{i_0 \dots \hat{i}_j \dots i_{n+1}} \Big|_{U_{i_0 \dots i_{n+1}}}$$

according to  $\partial_n \partial_{n+1} = 0$ , we have  $\partial_{n+1} d_n = 0$ , so

$$0 \rightarrow C^0(U, \mathcal{F}) \xrightarrow{d^0} C^1(U, \mathcal{F}) \dots C^n(U, \mathcal{F}) \xrightarrow{d^n} C^{n+1}(U, \mathcal{F}) \rightarrow$$

and we can also define the  $n$ -th Čech cohomological group

$$H^n(U, \mathcal{F}) = H^n(C^*(U, \mathcal{F})) = \frac{\text{Ker } d^n}{\text{Im } d^{n-1}}$$

**Lemma**. Let  $X$  be a topological space and  $\mathcal{U}$  is a open covering

$\mathcal{F}$  is an abelian group sheaf, so we have

$$\check{H}^0(\mathcal{U}, \mathcal{F}) \cong \Gamma(X, \mathcal{F}) \quad \text{all global sections on } X$$

let's proof:  $\check{H}^0(\mathcal{U}, \mathcal{F}) = \text{Ker } d^0$ ,  $f \in C^0 : f = \{f_i\}_{i \in I}$

for  $i < j$ ,  $0 = (d^0 f)_{ij} = f_j - f_i$  that means for all  $i, j \in \mathbb{N}$

$f_{i+j} = f_j + f_i$ , so according to the 2nd axiom: Gluability

$\exists s \in \Gamma(X, \mathcal{F})$  such that  $s|_{U_i} = f_i$  so  $\text{Ker } d^0 = \Gamma(X, \mathcal{F})$   $\square$

**Def:** sheaf homomorphism

$X$  topological space,  $\mathcal{F}, \mathcal{G}$  are two sheaves on  $X$ , assume

$\mathcal{U} = \{\mathcal{U}_i\}$  is the total set of all open sets on  $X$ , if there are a family of ring/group homomorphisms  $\{\varphi_{ui}\}$ .

$$\varphi_{ui} : \mathcal{F}(\mathcal{U}_i) \rightarrow \mathcal{G}(\mathcal{U}_i)$$

such that the diagram commutes,  $\mathcal{U}_j \subset \mathcal{U}_i$ , we call  $\Psi = \{\varphi_{ui}\}$

$$\begin{array}{ccc} \mathcal{F}(\mathcal{U}_i) & \xrightarrow{\varphi_{ui}} & \mathcal{G}(\mathcal{U}_i) \\ \varphi_{ui, uj} \downarrow & & \downarrow \varphi_{ui, uj} \\ \mathcal{F}(\mathcal{U}_j) & \xrightarrow{\varphi_{uj}} & \mathcal{G}(\mathcal{U}_j) \end{array}$$

as the sheaf homomorphism  
from  $\mathcal{F}$  to  $\mathcal{G}$

def: sheaf exact sequence

Let  $\{\mathcal{F}_i\}_{i \in \mathbb{N}}$  are sheaves on the topological space  $X$   
and  $i_k: \mathcal{F}_k \rightarrow \mathcal{F}_{k+1}$  is a sheaf homomorphism.

$$\mathcal{F}_0 \xrightarrow{i_0} \mathcal{F}_1 \xrightarrow{i_1} \dots \mathcal{F}_{n-1} \xrightarrow{i_{n-1}} \mathcal{F}_n \xrightarrow{i_n} \dots$$

is called a sheaf exact sequence, if  $\forall x \in X$

$$\mathcal{F}_0(x) \xrightarrow{i_0} \mathcal{F}_1(x) \xrightarrow{i_1} \mathcal{F}_2(x) \xrightarrow{i_2} \dots$$

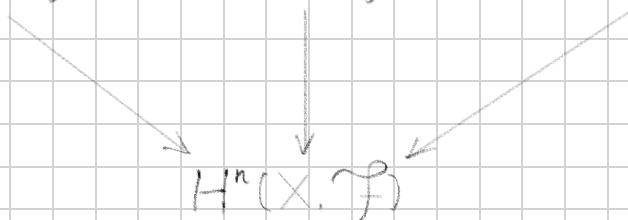
is a group/ring exact sequence

def:  $H^n(X, \mathcal{F})$  global

Let  $X$  to be an Abelian group sheaf, we define to Čech cohomological group of  $\mathcal{F}$  at global  $X$  is a direct limit

$$H^n(X, \mathcal{F}) = \varinjlim_{U_i} H^n(U_i, \mathcal{F})$$

$$\rightarrow H^n(U_i, \mathcal{F}) \rightarrow H^n(U_i, \mathcal{F}) \rightarrow H^n(U_i, \mathcal{F}) \rightarrow \dots$$



theorem

Let  $X$  be a topological space,  $\mathcal{U} = \{\mathcal{U}_i\}$  is an open covering so  $\forall n \geq 0$  exists homomorphism  $H^n(\mathcal{U}, \mathbb{F}) \rightarrow H^n(X, \mathbb{F})$  and the following diagram commutes

$$\begin{array}{ccc} H^n(\mathcal{U}, \mathbb{F}) & \longrightarrow & H^n(X, \mathbb{F}) \\ \downarrow & \curvearrowright & \downarrow \\ H^n(\mathcal{U}, G) & \longrightarrow & H^n(X, G) \end{array}$$

## introductory content: divisor

### def: divisor $D$

on a compact Riemann surface  $X$ , a divisor can be simply defined as a formal linear combination of points on  $X$  with integer coefficients:  $D = \sum n_p \cdot p$ , where  $p \in X$ ,  $n_p \in \mathbb{Z}$

the degree of a divisor,  $\deg(D) = \sum n_p$

if  $f$  is a meromorphic function,  $(f) = \text{div}(f) = \sum_p \text{ord}_p(f) \cdot p$   
where  $\text{ord}_p(f)$  represents the order of  $f$  at the point  $p$

•  $p$  is a zero of  $f$ ,  $\text{ord}_p(f) > 0$

if  $f$  has a zero of order  $n$  at  $p$ ,  $f(z) = (z-p)^n \cdot g(z)$ , where  $g(z)$  is a holomorphic function at  $p$ , so  $\text{ord}_p(f) = n > 0$

•  $p$  is a pole of  $f$ ,  $\text{ord}_p(f) < 0$

if  $f$  has a pole of order  $n$  at  $p$ ,  $f(z) = \frac{g(z)}{(z-p)^n}$ ,  $\text{ord}_p(f) = -n$

• if  $p$  is neither a zero nor a pole, then  $\text{ord}_p(f) = 0$

### def: canonical divisor $K$

on a compact Riemann surface  $X$ , and let  $w$  be a non-zero meromorphic differential on  $X$ , the divisor of  $w$  is defined as:  $\text{div}(w) = \sum_p \text{ord}_p(w) \cdot p$

is called canonical divisor

canonical divisor also represent the zeros and poles of  $w$

**lemma:** on a compact Riemann surface, any non-constant meromorphic function has the same number of zeros and poles, when counted with multiplicity  $\sum_p \text{ord}_p(f)$ .  $f$  meromorphic on a compact Riemann surface so if  $\alpha, \beta$  are two different meromorphic 1-form, the degree of  $\text{div}(\alpha)$  is equal to the degree of  $\text{div}(\beta)$

**def.**  $l(D)$

we say a divisor  $D = \sum_p n_p \cdot p \geq 0$ , if  $n_p \geq 0, \forall p$

$l(D) = \dim \left\{ \text{meromorphic function } f \text{ over } X, \forall p: \text{ord}_p(f) + n_p \geq 0 \right\}$

the number of zeros of  $f \geq$  the number of poles of  $D$

the number of poles of  $f \leq$  the number of zeros of  $D$

$l(0) = 1$ , on compact Riemann surface holomorphic functions  
are constant

$l(D) = 0$ , if  $D < 0$

$f$  has more zeros than poles, not exist on compact Riemann surface

example  $S^1 = \mathbb{P}^1$   $\dim=1$ , projective space

$\mathbb{P}^1$  = the set of lines in  $\mathbb{C}^2$  passing  $\vec{0}$

$$\mathbb{P}^1 = \{(a, b) \neq (0, 0) \text{ in } \mathbb{C}^2\} \sim (a, b) \sim (\lambda a, \lambda b), \lambda \neq 0$$

$$= \mathbb{C} \times \mathbb{C} - \{0\} /_{\mathbb{C}^*, \lambda \in \mathbb{C}^*}$$

$$= \{[a, b] \mid (a, b) \neq \vec{0}, \lambda \neq 0 : [a, b] = [\lambda a, \lambda b]\}$$

on  $\mathbb{P}^1$  we have two functions  $F(z_0, z_1) = z_1^2, G(z_0, z_1) = z_0(z_0 - z_1)$

def

1. holomorphism: complex differentiable in a neighbourhood of each point in a domain in  $\mathbb{C}^n$

2. a meromorphic function on an open subset of  $\mathbb{C}^n$  means a function that is holomorphic on all of the subset except for a set of isolated points

Lemma a meromorphic function can be expressed as the ratio of two holomorphic functions

$F, G$  are holomorphic,  $f = \frac{F}{G} = \frac{z_1^2}{z_0(z_0 - z_1)}$  meromorphism

$f$  has two zeros :  $z_0 = [z_0, 0] \rightarrow [1, 0]$  and

$f$  has two poles :  $z_1 = [0, z_1] \rightarrow [0, 1]$ ,  $z_2 = [z_2, 0] \rightarrow [1, 1]$

symbol:  $zp - q_1 - q_2$

So we construct another meromorphic function  $g$ , has the same symbol  $zp - q_1 - q_2$ , means 2 zeros, 2 poles, 2 poles:  $q_1, q_2$

$\frac{f}{g}$ : no poles and no zeros no poles  $\rightarrow$  holomorphic

### The Liouville's theorem

$f(z)$  is holomorphic over  $\mathbb{C}$ , and  $\forall z : f(z) \equiv 0$

and  $f(z)$  is bounded, so we have  $f(z) \equiv \text{const}$

no zeros  $\rightarrow$  constant  $\frac{f}{g} = \lambda$

This symbol determines a meromorphic function up to a constant, we call it divisor of  $f$ , denoted  $(f)$

Why define divisor? from number theory

if  $n|m$ ,  $n, m \in \mathbb{Z}$ , we say  $m$  is a divisor of  $n$

and in  $\mathbb{Z}$ , we have "fundamental theorem of Arithmetic"

$\forall n \in \mathbb{N}_+$ ,  $n = \prod_i p_i^{n_i}$  a formal sum, this property is called unique factorization, so ring  $\mathbb{Z}$  is a UFD

## Riemann-Roch theorem

Let  $D$  be a divisor on a curve  $X$  of genus  $g$ , then

$$l(D) - l(K-D) = \deg D + 1 - g$$

modern version : Let  $X$  be a curve, and  $\mathcal{L}$  is a line bundle

$$\chi(\mathcal{L}) = \deg(\mathcal{L}) + 1 - g, g = \dim H^0(X, \mathcal{O}_X)$$

### def Euler characteristic

Let  $\mathcal{F}$  be a sheaf on a topological space  $X$

$$\chi(\mathcal{F}) = \sum_{i=0}^{\dim X} (-1)^i \cdot \dim H^i(X, \mathcal{F})$$

Let  $X$  be a smooth, projective curve over  $\mathbb{C}$ ,  $\dim = 1$

$$\chi(\mathcal{F}) = \dim H^0(X, \mathcal{F}) - \dim H^1(X, \mathcal{F})$$

1. first step check "trivial line bundle"  $\mathcal{L} = \mathcal{O}_X$

(1)  $H^0(X, \mathcal{O}_X)$ , the set of global regular function, we assume the curve over field  $k = \mathbb{C}$ , so the global regular function on algebraic closed  $\mathbb{C}$  is only constant function, so  $\dim H^0(X, \mathcal{O}_X) = 1$

according to Liouville theorem

(2)  $H^1(X, \mathcal{O}_X) = g$  the definition of genus

(3)  $\deg \mathcal{O} = 0$ , regular (holomorphic)  $\rightarrow$  no zeros, poles

def: line bundle degree

the degree of line bundle  $L$  on a curve over field  $k$  is defined as the degree of the divisors of any nonzero rational section  $s$  of  $L$

from trivial line bundle we get trivial section as constant, which has no zero/pole, so  $\deg(L) = 0$

2. second step, use induction with short exact sequence

$\forall p \in X$ , consider  $L(p) = L \otimes \mathcal{O}_X(p)$  and skyscraper sheaf  $k_p$

def: skyscraper sheaf

Let  $X$  be a topological space and  $x \in X$ , skyscraper sheaf  $\mathcal{F}_x$  open set  $U \subset X$ ,  $A$  is an algebraic structure, here is  $\mathbb{C}$

$$\text{define } \mathcal{F}_x(U) = \begin{cases} A, & \text{if } x \in U \\ 0, & \text{if } x \notin U \end{cases}$$

if  $x \in V \subset U$ ,  $\text{res}_{UV} = \text{id}_A$ , if  $x \notin V$ ,  $\text{res} = 0$  map

explanation for  $k_p$ :  $H^0(X, k_p) = k$ ,  $\forall i > 0$ ,  $H^i(X, k_p) = 0$

$X$  is a topological space, a point  $p \in X$ ,  $k$  is a residue field at  $p$ . the stalk of Skyscraper sheaf  $k_p$  at  $p$  is  $k$

$$k_p(U) = \begin{cases} k, & \text{if } p \in U \\ 0, & \text{if } p \notin U \end{cases}$$

$$\text{so } H^0(X, k_p) = k, H^1(X, k_p) = 0$$

$L_p = L \otimes \mathcal{O}_X(p)$ , line bundle  $\mathcal{O}_X(p)$  corresponding divisor  $D = p$   
 global section is meromorphic functions  $f$  satisfying  $\text{div}(f) + p \geq 0$   
 function can have a simple pole only at  $p$

consider a short sequence  $0 \rightarrow L \xrightarrow{\alpha} L_p \xrightarrow{\beta} k_p \rightarrow 0$

well it is an exact sequence :  $\text{Im } \alpha = \alpha(L) = \text{Ker } \beta$

means  $L \xrightarrow{\alpha} L_p$  injective,  $L_p \xrightarrow{\beta} k_p$  surjective

- $L$  is a subsheaf of  $L_p$
- $k_p$  is a quotient sheaf of  $L_p$  :  $k_p \sim L_p / \alpha(L)$
- $\text{Ker } \beta = \text{Im } \alpha$

according to Čech cohomology theorem we have  $C^*(X, \mathcal{F})$

$0 \rightarrow L \rightarrow L_p \rightarrow k_p \rightarrow 0$  a short exact sequence, we have a long exact sequence,  $\exists \delta^n : H^n(X, k_p) \rightarrow H^{n+1}(X, L)$

$$0 \rightarrow H^0(X, L) \rightarrow H^0(X, L_p) \rightarrow H^0(X, k_p) \xrightarrow{\delta^0} H^1(X, L)$$

rows are exact

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 0 & \longrightarrow & C^{n+1}(X, L) & \xrightarrow{\alpha^{n+1}} & C^{n+1}(X, L_p) & \xrightarrow{\beta^{n+1}} & C^{n+1}(X, k_p) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & C^n(X, L) & \xrightarrow{\alpha^n} & C^n(X, L_p) & \xrightarrow{\beta^n} & C^n(X, k_p) \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & C^{n+1}(X, L) & \xrightarrow{\alpha^{n+1}} & C^{n+1}(X, L_p) & \xrightarrow{\beta^{n+1}} & C^{n+1}(X, k_p) \longrightarrow 0 \\
 & & \downarrow \partial & & \downarrow \partial & & \downarrow \partial \\
 & & \text{?} & & \text{?} & & \text{?} \\
 & & & g^e & & h \in Z^n(X, k_p) & \\
 & & & \downarrow \partial & & \downarrow \partial & \\
 & & & \text{?} & & \text{?} & \\
 & & & \partial g^e & & \partial h & \\
 & & & \downarrow \partial & & \downarrow \partial & \\
 & & & \text{?} & & \text{?} & \\
 & & & \partial \partial g^e & & \partial \partial h & \\
 & & & \downarrow \partial & & \downarrow \partial & \\
 & & & \text{?} & & \text{?} & \\
 & & & \partial f & & \partial h & \\
 & & & \parallel & & \downarrow \partial & \\
 & & & 0 & & 0 & \\
 & & Z^{n+1}(X, L) & \xrightarrow{f^e} & \partial \partial g^e & \xrightarrow{g^e} & \partial h \\
 & & \downarrow \partial & & \downarrow \partial & & \downarrow \partial \\
 & & 0 & & 0 & & 0
 \end{array}$$

$f \in C^{n+1}(X, L) : \exists \partial g \in C^{n+1}(X, L_p)$  such that  $\partial g = \alpha^{n+1}(f)$

where  $g \in C^n(X, L_p)$ .

$0 = \partial(\partial g) = \partial(\alpha f) = \alpha(\partial f)$ ,  $\alpha$  is injective so  $\partial f = 0$

we know short exact sequence  $\text{Im } \alpha = \text{Ker } \beta : \beta^{n+1}(\alpha^{n+1} f) = 0$

so from Snake Lemma and its corollary

$$0 \longrightarrow H^0(X, L) \longrightarrow H^0(X, L_p) \longrightarrow H^0(X, k_p)$$

$$H^1(X, L) \longrightarrow H^1(X, L_p) \longrightarrow H^1(X, k_p) \longrightarrow 0$$

calculate Euler characteristic (on a projective curve)

$\chi(k_p) : H^0(X, k_p) = k$ , and  $\dim H^0(X, k_p) = 1$

$\dim H^1(X, k_p) = 0$  so  $\chi(k_p) = \dim H^0(k_p) - \dim H^1(k_p) = 0$

from  $0 \rightarrow \mathcal{L} \rightarrow \mathcal{L}_p \rightarrow k_p \rightarrow 0$  so  $\chi(\mathcal{L}_p) = \chi(\mathcal{L}) + \chi(k_p)$

$\chi(\mathcal{L}_p) = \chi(\mathcal{L}) + \chi(k_p) = \chi(\mathcal{L}) + 1$ ,

$\deg(\mathcal{L}_p) = \deg \mathcal{L} + 1$

divisor  $\xrightarrow{\quad ? \quad}$  line bundle

1. divisor  $D = \sum n_i p_i$ ,  $\mathcal{O}_X(D)$ ,  $\forall f \in \mathcal{O}_X(D)$  is meromorphic

functions satisfying  $\text{div}(f) + D \geq 0$

2. from a line bundle  $\mathcal{L}$  we can form a divisor :  $\mathcal{L} \cong \mathcal{O}_X(D)$

if  $\mathcal{L} = \mathcal{O}_X(D)$  so  $\mathcal{L}_p = \mathcal{L} \otimes \mathcal{O}_X(p)$

corresponding divisor  $D + p$ , means  $\mathcal{L}_p \cong \mathcal{O}_X(D+p)$

$\deg(D) = \sum n_i$ ,  $\mathcal{L} = \mathcal{O}_X(D)$ ,  $\deg \mathcal{L} = \deg D$

$\deg(\mathcal{L}_p) = \deg(D+p) = \deg D + \deg p = \deg \mathcal{L} + 1$

because  $p$  is a point

suppose Riemann - Roch theorem is right for  $\mathcal{L}$

$$\begin{aligned}\chi(\mathcal{L}_p) &= \chi(\mathcal{L}) + 1 \xrightarrow{\text{RR}} (\deg \mathcal{L} + 1 - g) + 1 \\ &= \deg(\mathcal{L}_p) + 1 - g\end{aligned}$$

third step: Serre duality theorem

theorem, Serre duality theorem local  $\longrightarrow$  global (duality)

Let  $X$  be a smooth,  $\dim = n$ , projective, algebraic variety

here  $X$  is a smooth projective curve,  $L$  is line bundle on  $X$

$w_X$  is a canonical sheaf over  $X$ , so we have

$$H^0(X, L) = H^1(X, L^\vee \otimes w_X)^\vee$$

$$H^1(X, L) = H^0(X, L^\vee \otimes w_X)^\vee$$

where  $L^\vee = \mathcal{H}om(L, \mathbb{Q})$  duality sheaf of  $L$ ,  $L^\vee = L^{-1}$

( $\cdots$ ) $^\vee$  means duality vector space

def. canonical sheaf  $w_X$  on a curve  $X$

$w_X = \Omega_X$ , where  $\Omega_X$  is the cotangent bundle on  $X$

point  $p \in X$ , the fiber of cotangent bundle at  $p$  is the cotangent space  $T_p^*X$ , which consists of all the linear functions acting on  $T_p X$

A section  $g \in w_X$ ,  $g = f(z) dz$  (-local coordinate), where  $f$  is a regular function

back to the proof of Riemann-Roch theorem

$$H^1(X, L) = H^0(X, L^{-1} \otimes w_X)^\vee$$

put into Riemann-Roch  $\chi(L) = H^0(X, L) - H^1(X, L)$

$$X(L) = H^0(X, L) - H^0(X, L^{-1} \otimes \omega_X)$$

$$= \deg L + 1 - g$$

sheaf  $L \rightarrow$  divisor  $D$

sheaf  $L^{-1} \rightarrow$  divisor  $-D$  { zero  $\rightarrow$  pole  
pole  $\rightarrow$  zero

sheaf  $\omega_X \rightarrow$  divisor  $K$

sheaf  $\omega_X \otimes L^{-1} \rightarrow$  divisor  $K-D$

### Riemann-Roch theorem

Let  $D$  be a divisor on a curve  $X$  of genus  $g$ , then

$$l(D) - l(K-D) = \deg D + 1 - g$$

$$l(D) = \dim \{ \text{meromorphic function } f \text{ over } X, \forall p: \text{ord}_p(f) + n_p \geq 0 \}$$

$$= \dim H^0(X, L)$$

apply  $L \rightarrow \Omega_X$

□

# MATH 5261: Algebraic Geometry II. 2025 Spring

Lecture 1: Overview & Introduction to Homological Algebra. jiangzy@ust.hk

**GOAL** of this semester: use cohomological tools to study algebraic geometry.

~ roughly Hartshorne Chapter III (+ 1st II, ...)

main themes: I. Serre duality. II. Flat, smooth morphisms.

Riemann-Roch, ... Family of sheaves, base-change, ...

tools to develop: D. Cohomology theory (of sheaves)

## §1. Riemann-Roch

$$\begin{array}{c} X \subseteq \mathbb{P}_\mathbb{C}^n \text{ for some } n \\ / \quad \dim X = 1 \end{array}$$

Let  $X$  be a smooth projective curve over  $\mathbb{F} = \mathbb{C}$ .

$$\dim T_x X = 1 \forall x \in X \text{ closed.}$$

on a compact connected Riemann surface, all the holomorphic functions are constant function,  $\dim = 1$

$\xleftarrow{\text{iso}}$   $X(\mathbb{C})$ : Riemann surface. Closed, oriented real 2-dim'l manifold.  
compact



$$g=0$$



$$g=1$$



$$g=2$$

...

Def'n: A divisor on  $X$  is a formal sum

$$D = \sum_{i=1}^m n_i x_i, \quad n_i \in \mathbb{Z}, \quad x_i \in X \text{ closed points.}$$

$$= \sum_{\text{finite}} n_x \cdot x, \quad n_x \in \mathbb{Z}, \quad x \in X \text{ closed.}$$

$$\deg(D) := \sum_{i=1}^m n_i \quad \text{degree of } D.$$

For a divisor  $D = \sum_x n_x \cdot x$ , define a  $\mathcal{O}_X$ -module  $\mathcal{O}_X(D)$ :

$$\mathcal{O}(D) \mathbb{P}(U, \mathcal{O}_X(D)) = \{ f \in K(X) \mid \forall x \in U \text{ closed, } \underbrace{\text{ord}_x(f)} + \underbrace{n_x}_{\substack{\text{function field of } X \\ \text{"meromorphic fns."}}} \geq 0 \}$$

order at  $x$

"meromorphic fns."

$$\text{div}(f)|_U + D|_U \geq 0.$$

Fact:  $\mathcal{O}_X(D)$  is a line bundle. (locally free of rank 1).

E.g.: •  $D = \emptyset$ ,  $\mathcal{O}_X(D) = \mathcal{O}_X$ .

•  $D = -x$ ,  $\Gamma(X, \mathcal{O}_X(D)) = \{ f \in \mathcal{O}_X(U) \mid f_x \in m_x \subset \mathcal{O}_{X,x} \}$ .  $\mathcal{O}_X(D) \subseteq \mathcal{O}_X$ .  
regular fns vanishing at  $x$   
 $\mathcal{O}_X(D) = I_x$  ideal sheaf of  $x$ .

•  $D = x$ ,  $\mathcal{O}_X \subseteq \mathcal{O}_X(D) \subseteq K(x)$

subsheaf of meromorphic fns allowing poles of order 1  
only at  $x \in X$ .

Generally:  $D = a_1 x_1 + \dots + a_n x_n - b_1 y_1 - \dots - b_m y_m$ ,  $a_i, b_j \in \mathbb{Z}$

$\Gamma(X, \mathcal{O}_X(D)) = \left\{ f \in K(X) \mid \begin{array}{l} f \text{ allow poles of order } \leq a_i \text{ at } x_i \\ f \text{ has vanishing order } \geq b_j \text{ at } y_j \end{array} \right\}$

THM (Riemann-Roch):  $\forall$  divisor  $D$  on a smooth projective curve  $X/\mathbb{C}$

$$\dim \underbrace{\Gamma(X, \mathcal{O}_X(D))}_{\text{algebraic / holomorphic / analytic inform}} - \dim \underbrace{\Gamma(X, \mathcal{O}_X(K-D))}_{\text{topological inform}} = 1 - g + \deg(D).$$

Here {

- K canonical divisor:  $\mathcal{O}_X(K) = \Omega_X^1$  cotangent bundle  
 $\Omega_X^1$  is a sheaf of  $\mathcal{O}_X$ -modules s.t.  $\Omega_X^1 \otimes K(x) \cong m_x/m_x^2$   
 Kähler differentials (later).
- $g = \dim_{\mathbb{C}} \Gamma(X, \Omega_X^1) = \dim_{\mathbb{C}} \Gamma(X, \mathcal{O}_X(K))$ . genus.  
 $g = \# \text{ of holes of } X(\mathbb{C}) = \frac{1}{2} \dim H^1(X(\mathbb{C}))$

The most important result in studying curves. Many proofs.

Modern simple proof in algebraic geometry:

1) Machinery of sheaf cohomology:  $H^i(X, \mathcal{F})$  -  $\mathcal{F}$  (coherent) sheaf

$$\Gamma(X, \mathcal{F}) = H^0(X, \mathcal{F}).$$

For any short exact sequence of (coherent) sheaves

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0.$$

"cohomology groups"

It produces a long exact sequence

$$0 \rightarrow H^0(X, \mathcal{F}_1) \rightarrow H^0(X, \mathcal{F}_2) \rightarrow H^0(X, \mathcal{F}_3) \rightarrow H^1(X, \mathcal{F}_1) \rightarrow H^1(X, \mathcal{F}_2) \rightarrow H^1(X, \mathcal{F}_3) \rightarrow \dots$$

$\uparrow X \text{ curve}$

. Vanishing theorems:  $H^i(X, \mathcal{F}) = 0$  for  $i > 0$ .

In fact,  $X$  curve,  $H^i(X, \mathcal{F}) = 0$  for  $i \neq 0, 1$ .

. Finiteness results:  $X$  projective/ $\mathbb{C}$  (in fact, proper/ $\mathbb{C}$  is  $\checkmark$ )

$$\Rightarrow \dim_{\mathbb{C}} H^i(X, \mathcal{F}) < \infty.$$

Euler  $\Rightarrow \chi(X, \mathcal{F}_1) - \chi(X, \mathcal{F}_2) + \chi(X, \mathcal{F}_3) = 0$ .

$$\chi(X, \mathcal{F}) := \sum_{i=0}^{\infty} (-1)^i \dim_{\mathbb{C}} H^i(X, \mathcal{F})$$

$$= \dim_{\mathbb{C}} \underbrace{H^0(X, \mathcal{F})}_{\Gamma(X, \mathcal{F})} - \dim_{\mathbb{C}} H^1(X, \mathcal{F}), \quad X \text{ curve.}$$

Apply to the short exact sequence (say  $D \geq 0$ ).

$$0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X(D) \rightarrow \mathcal{O}_D(D) \rightarrow 0, \quad X \text{ curve}$$

$$\chi(X, \mathcal{O}_X(D)) = \chi(X, \mathcal{O}_X) + \deg(D).$$

2). Serre duality:

$$X \text{ curve. } H^1(X, \mathcal{F}) \cong H^0(X, \mathcal{F}^{\vee} \otimes \Omega_X^1)^{\vee}, \quad \mathcal{F} \text{ vector bundle}$$

$$\Rightarrow \begin{cases} H^1(X, \mathcal{O}_X(D)) \cong H^0(X, \mathcal{O}_X(K-D))^{\vee}. \\ H^1(X, \mathcal{O}_X) \cong \Gamma(X, \Omega_X^1)^{\vee}. \end{cases}$$

$$\text{Now: } \chi(X, \mathcal{O}_X) = \underbrace{\dim \Gamma(X, \mathcal{O}_X)}_1 - \underbrace{\dim \Gamma(X, \Omega_X^1)}_g. \quad \square$$

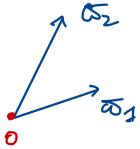
In general:  $X$  smooth projective/ $\mathbb{C}$  of dim  $n$ ,  $\mathcal{F}$  v.b. coherent

Serre's duality:  $H^i(X, \mathcal{F}) \cong H^{n-i}(X, \mathcal{F}^{\vee} \otimes \Lambda^n \Omega_X^1)^{\vee}$ .

$$H^i(X, \mathcal{F}) \cong \text{Ext}^{n-i}(\mathcal{F}^{\vee}, \omega_X)^{\vee}.$$

$\leftarrow$  will prove this.

Example:  $X = \text{elliptic curve over } k = \mathbb{C}$ .



Shows:  $X \xrightarrow{\text{analytically}} \mathbb{C}/\Delta$ ,  $\Delta = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$ .

$\mathcal{L} := \mathcal{O}(0)$ ,  $\mathcal{L}^{\otimes d} = \mathcal{O}(d \cdot 0)$ ,  $d > 0$ .

$\deg((\mathcal{L}^{\otimes d})^v \otimes \mathcal{N}_X^{-1}) = -d < 0 \text{ if } d > 0$ .

[Ex:  $\Rightarrow \Gamma((\mathcal{L}^{\otimes d})^v \otimes \mathcal{N}_X^{-1}) = 0$ .]

Then P.R.  $\Rightarrow \dim \Gamma(X, \mathcal{L}^{\otimes d}) = d$ ,  $d > 0$ .

$d$	$\dim \Gamma(X, \mathcal{L}^d)$	Basis
1	1	1
2	2	$\wp, 1$ $\hookrightarrow \begin{matrix} \wp \\ z^2 \end{matrix} \mapsto [\wp(z), 1]$
3	3	$\wp, \wp', 1$ $\hookrightarrow \begin{matrix} \wp \\ \wp' \\ z^2 \end{matrix} \mapsto [\wp(z), \wp'(z), 1]$
4	4	$\wp, \wp^2, \wp', 1$ Question: $\wp'' \in \Gamma(C, \mathcal{O}(4 \cdot 0))$ ? lin. reln? (***)
...	...	...

(\*) Recall:  $X \rightarrow \mathbb{P}^n \rightsquigarrow \mathcal{L} \rightarrow X$  line bundle &  $(n+1)$  sections  
 $\mathcal{O}_X^{\oplus(n+1)} \rightarrow \mathcal{L}$  s.t. gen.  $\mathcal{L}$ .

For (\*): Image is "homogeneous"  
 $X \cong \{y^2 = 4x^3 - g_2x - g_3\} \subseteq \mathbb{P}^3$ .  $y = \wp(z)$ ,  $x = \wp'(z)$ . "Weierstrass form".

$$\wp(z) := \frac{1}{z^2} + \sum_{\lambda \in \Delta \setminus \{0\}} \left( \frac{1}{(z-\lambda)^2} - \frac{1}{\lambda^2} \right)$$

• double periodic mer. fn.  $\iff \wp(z) \in \Gamma(X, \mathcal{O}(2 \cdot 0))$ .

• has a pole of degree 2 at  $0 \in X$ .

$$\wp'(z) = -2 \sum_{\lambda \in \Delta} \frac{1}{(z-\lambda)^3}, \quad \wp'(z) \in \Gamma(X, \mathcal{O}(3 \cdot 0)).$$

$$g_2 = 60 \sum_{0 \neq \lambda \in \Delta} \frac{1}{\lambda^2}, \quad g_3 = 140 \sum_{0 \neq \lambda \in \Delta} \frac{1}{\lambda^3}.$$

□

(\*\*): prove that  $\wp'' = 6\wp^2 - \frac{g_2}{2}$ .

## §2. Prelude to derived functors: Tor.

Riemann-Roch: want to know  $\Gamma(X, \mathcal{F})$ : this information alone: hard.

once corrected by missing hidden information about  $\mathcal{F}$ :

$$H^i(X, \mathcal{F})$$

Then get nice & complete understanding.

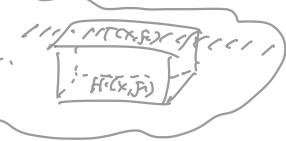
$H^i(X, \mathcal{F})$ : derived functor of  $\Gamma(X, \mathcal{F})$ .

"hidden information  
that is determined by  
 $\Gamma(X, \mathcal{F})$ "

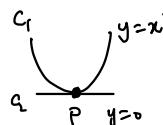
$$\mathcal{F} = 0$$

They are already there.

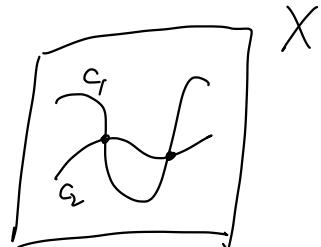
But we need to do some extra  
work to extract/derive these inform.



Another Example: Serre's intersection formula\*

E.g.   $y = x^2$   $X = \mathbb{C}^2$

intersection multiplicity



$$\text{mult}_p(C_1, C_2) = 2 = \dim_{\mathbb{k}} (\mathcal{O}_{C_1, p} \otimes_{\mathcal{O}_{X, p}} \mathcal{O}_{C_2, p})$$

$$\mathbb{k}[x, y]/(y) \otimes \mathbb{k}[x, y]/(y - x^2) \cong \mathbb{k}[x]/(x^2).$$

Is that always true  $C_1, C_2 \subseteq X^{\text{smooth}}$ ,  $C_1 \cap C_2 = \{p_1, p_2, \dots, p_n\}$   
 $(\& \dim C_1 + \dim C_2 = \dim X)$

$$\text{mult}_p(C_1, C_2) \neq \dim_{\mathbb{k}} (\mathcal{O}_{C_1, p} \otimes_{\mathcal{O}_{X, p}} \mathcal{O}_{C_2, p}) ?$$

No for higher dim'l

Serre:  $\text{mult}_p(C_1, C_2) = \sum_i (-1)^i \dim_{\mathbb{k}} \text{Tor}_i^{\mathcal{O}_{X, p}}(\mathcal{O}_{C_1, p}, \mathcal{O}_{C_2, p}).$

(positivity remains open).  
 3.0: Gabber (1995).

$\text{Tor}_i^R(M, N)$ : derived functor of  $M \otimes_R N$ .

Tor: "derived functor of  $\otimes$ "

$R$ : (commutative) ring.  $N$ :  $R$ -module.

Then:  $- \otimes_R N$  is not exact, but only right exact:

If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is a short exact sequence of  $R$ -modules,  
then for any  $R$ -module  $N$ :

$$\begin{array}{ccccccc}
 & s & \dots & & \text{Tor}_2(M'', N) \\
 \text{upshot:} & \xrightarrow{s} \text{Tor}_1(M', N) & \rightarrow & \text{Tor}_2(M, N) & \rightarrow & \text{Tor}_3(M'', N) \\
 & & & s & & & \\
 & \xrightarrow{s} M' \otimes_R N & \rightarrow & M \otimes_R N & \rightarrow & M'' \otimes_R N & \rightarrow 0 \\
 & & \uparrow & & & & \\
 & & \text{this might NOT be injective.} & & & & 
 \end{array}
 \quad \text{exact}$$

Rmk (Flatness).  $N$  is flat  $\stackrel{\text{def'n}}{\iff} - \otimes_R N$  is exact

i.e.  $\forall 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  short exact seq (S.e.s.)

$\Rightarrow 0 \rightarrow M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0$  short exact seq.  $\square$

Def<sup>In</sup>: • A free  $R$ -module  $R^{\oplus S} = \bigoplus_{s \in S} R \cdot s$   $S$  basis.

• A free resolution of an  $R$ -module  $M$  is a complex of free modules

$F_* = (F_n, d)_n \geq 0$  together with a  $R$ -module map  $e: F_0 \rightarrow M$  s.t.

$$\dots F_3 \xrightarrow{d} F_2 \xrightarrow{d} F_1 \xrightarrow{d} F_0 \xrightarrow{e} M \rightarrow 0$$

is exact.

• Define  $\text{Tor}_i^R(M, N) = H_i(F_* \otimes_R N, d''_n \otimes id_N)$   
 $=$  Homology of  $(\dots \rightarrow F_n \otimes_R N \xrightarrow{d''_n} F_{n-1} \otimes_R N \xrightarrow{d'_n} F_0 \otimes_R N)$  at  $i^{th}$  stage.  
 $= \frac{\text{Ker}(d_i)}{\text{Im}(d_{i+1})}$  . . . 2 1 0      homological degree  $\square$

Exercise:  $\text{Tor}_0^R(M, N) = M \otimes_R N$ .

Lemma 1.1 (Free resolutions exist). Let  $M$  be a  $R$ -module. Then

$M$  admits a free resolution  $F_*$ .

Proof: Take  $F_0 = \bigoplus_{m \in M} R \cdot m \xrightarrow{\epsilon} M$ .

$$\begin{array}{ccc} F_0 & \xrightarrow{\epsilon} & M \\ \bigoplus_{m \in M} R \cdot m & \downarrow & \downarrow \\ rm & \longrightarrow & rm \end{array}$$

(If  $M$  is finitely generated,  $M = \sum_{i=1}^n r_i e_i$ , then

we can take  $F_0 = \bigoplus_{i=1}^n R e_i$  to be a finite free module)

Set  $M_0 = \text{Ker}(\epsilon)$ . Then take free module  $F_1 \xrightarrow{\epsilon_1} M_0$ .

Inductively, given a module  $M_{n-1}$ , take free module  $F_n$  with

$$F_n \xrightarrow{\epsilon_n} M_{n-1}.$$

Let  $d_n = \text{composition } (F_n \xrightarrow{\epsilon_n} M_{n-1} \hookrightarrow F_{n-1})$ .

Then  $F_* = (F_n, d_n)$  with  $F_0 \xrightarrow{\epsilon} M$  is a free resol'n of  $M$ .

$$\cdots \xrightarrow{\epsilon_3} F_3 \xrightarrow{\epsilon_2} F_2 \xrightarrow{\epsilon_1} F_1 \xrightarrow{\epsilon} F_0 \xrightarrow{\epsilon} M$$

$\downarrow$        $\downarrow$        $\downarrow$

$M_3 = \text{ker}(\epsilon_3)$        $M_2 = \text{ker}(\epsilon_2)$        $M_1 = \text{ker}(\epsilon_1)$

□

Lemma 1.2 (Free resolutions are "unique up to homotopy")

Let  $F_* \xrightarrow{\epsilon} M$ ,  $G_* \xrightarrow{\eta} N$  be 2 free resol'n's.

Given any map  $f: M \rightarrow N$ .

(1) There exists a chain map  $f_*: F_* \rightarrow G_*$  lifting  $f: M \rightarrow N$ ,

i.e.  $\eta \circ f_0 = f \circ \epsilon$ .

(2) Any two chain maps  $f_*, f'_*: F_* \rightarrow G_*$  lifting  $f: M \rightarrow N$  are chain homotopy equivalence:

$$\exists h_i: F_i \rightarrow G_{i+1}$$

s.t.  $f_i - f'_i = d'_{i+1} h_i + h_{i-1} d_i$ .

$(f_* - f'_*) = dh + hd$

$$\cdots \xrightarrow{\quad} F_2 \xrightarrow{\quad} F_1 \xrightarrow{\quad} F_0 \xrightarrow{\quad} M \xrightarrow{\quad} 0$$

$\downarrow$        $\downarrow$        $\downarrow$        $\downarrow$        $\downarrow$

$h_2' \exists$        $h_1' \exists$        $h_0' \exists$

$\downarrow$        $\downarrow$        $\downarrow$

$$\cdots \xrightarrow{\quad} G_2 \xrightarrow{\quad} G_1 \xrightarrow{\quad} G_0 \xrightarrow{\quad} N \xrightarrow{\quad} 0$$

$f$

Proof: (1).

$$\begin{array}{ccc} F_0 & \xrightarrow{\quad f_0 \varepsilon \quad} & \\ \exists? \vdots & \searrow & \\ \downarrow & & \\ G_0 & \xrightarrow{\quad \eta \quad} & N \end{array}$$

$$\begin{array}{ccc} F_0 & \xrightarrow{\quad \xi \quad} & M \rightarrow 0 \\ \xi_0 \downarrow & & \downarrow f \\ G_0 & \xrightarrow{\quad \eta \quad} & N \rightarrow 0 \end{array}$$

(\*) Free modules are "projective": for any surjective map  $B \xrightarrow{\alpha} C$  & any  $\gamma: F \rightarrow C$ ,  $F$  free  $\exists \beta$  s.t.  $\alpha \circ \beta = \gamma$ .

$$\begin{array}{ccc} F & \xrightarrow{\quad \text{free} \quad} & \\ \exists \beta \vdots & \searrow \alpha & \\ \downarrow & & \\ B & \xrightarrow{\quad \alpha \quad} & C \end{array}$$

Proof of (\*):  $F = \bigoplus_i R e_i$  has basis  $\{e_i\}$ .

For each  $i$ , consider  $\alpha(e_i) \in C$ ,  $\exists b_i \in B$ ,  $\alpha(b_i) = \alpha(e_i)$ .

Define  $\beta: e_i \mapsto b_i$  □

Hence  $\exists f_0: F_0 \rightarrow G_0$  s.t.  $\eta \circ f_0 = f_0 \circ \xi$ .

Next step:

$$\begin{array}{ccc} f_1 & & \\ \exists f_2 \vdots & \searrow f_1 \circ d_1 & \\ \downarrow & & \\ G_1 & \xrightarrow{\quad d_1' \quad} & \ker(\eta) \end{array}$$

$$\begin{array}{ccc} F_1 & \xrightarrow{\quad d_1 \quad} & F_0 \xrightarrow{\quad \xi \quad} M \rightarrow 0 \\ \xi_2 \downarrow & & \downarrow f_1 \\ G_1 & \xrightarrow{\quad d_1' \quad} & G_0 \xrightarrow{\quad \eta \quad} N \rightarrow 0 \end{array}$$

Inductively:  $\exists f_n: F_n \rightarrow G_n$  s.t.  $d'_n \circ f_n = f_{n-1} \circ d_n$ . □ of (1).

Notice: we only used  $F_\cdot$  is a chain complex of free modules &  $G_\cdot \rightarrow N$  is any resol'n.

$$(2). \quad \eta(f_0 - f'_0) = f_0 \xi - f'_0 \xi = 0$$

$$\Rightarrow \exists h_0: F_0 \xrightarrow{\quad f_0 - f'_0 \quad} \ker(\eta)$$

$$\begin{array}{ccccc} & & F_0 & \xrightarrow{\quad \xi \quad} & M \\ & \swarrow h_0 & \downarrow f_0 - f'_0 & \downarrow f'_0 & \downarrow f \\ G_1 & \xrightarrow{\quad d' \quad} & G_0 & \xrightarrow{\quad \eta \quad} & N \end{array}$$

Inductively:  $n \geq 1$  (set  $F_{-1} = M$ ,  $h_{-1} = 0$ ,  $G_{-1} = N$ ):

Suppose  $f_n - f'_{n-1} = d'h + hd$

Want:  $f_n - f'_{n-1} = d'h + hd$ .

$$\begin{array}{ccccc} F_n & \xrightarrow{d} & F_{n-1} & \xrightarrow{d} & F_{n-2} \\ f_n - f'_{n-1} \downarrow & & h_{n-1} \downarrow & & f_{n-2} - f'_{n-3} \downarrow \\ G_{n+1} & \xrightarrow{d'} & G_n & \xrightarrow{d'} & G_{n-1} \end{array}$$

$$\begin{aligned} \text{Compute: } & d'(f_n - f'_{n-1} - h_{n-1}d) \\ &= (f_n - f'_{n-1})d - d'h_{n-1}d \\ &= (f_{n-1} - f'_{n-1} - d'h_{n-1}) \cdot d \\ &= h_{n-2} \circ d \circ d = 0. \end{aligned}$$

Hence

$$\begin{array}{c} F_n \\ \downarrow f_n - f'_{n-1} - hd \\ G_{n+1} \rightarrow \ker(d'_{n-1}) \end{array}$$

□

Rmk: Only use  $F_* \rightarrow M$  is a projective chain complex &  $G_* \rightarrow N$  any res'l'n. Here:  $P$  is a projective module if  $\exists \beta$  holds, i.e.

$$\begin{array}{ccc} P & \xrightarrow{\exists \beta} & A \\ & \searrow \alpha & \downarrow \gamma \\ B & \xrightarrow{\alpha} & C \end{array}$$

$$\forall \alpha: B \rightarrow C, \forall \gamma: P \rightarrow C$$

$$\exists \beta: P \rightarrow B \text{ s.t. } \gamma = \alpha \circ \beta.$$

□

Consequences:

- Cor 1.3: ① For any  $f: M \rightarrow M'$  R-module map. Take free res'l'n  $F_* \rightarrow M$  &  $F'_* \rightarrow M'$  & chain map  $f_*: F_* \rightarrow F'_*$  lifting  $f$ . Then  $\forall N$ ,  $H_i(F_* \otimes N) \xrightarrow{H_i(f_* \otimes \text{id}_N)} H_i(F'_* \otimes N)$  depends only on  $f$  & indep. of lifting  $f_*$ .
- ② Take any two free resolutions  $F_*, F'_*$  of  $M$ . For any  $N$ ,  $\exists$  can. isom.  $H_i(F_* \otimes N) \xrightarrow{\sim} H_i(F'_* \otimes N)$ .

Combine ① & ②:  $\text{Tor}_i^R(M, N)$  is independent of the free res'l'n  $F_* \rightarrow M$  &  $\text{Tor}_i^R(-, N)$  is functorial:  $\text{Tor}_i^R(-, N): \text{Mod}_R \rightarrow \text{Mod}_R$ .

$$\begin{array}{ccc} F_* & \rightarrow & M \\ \exists \downarrow & & \downarrow \text{id} \\ F'_* & \rightarrow & M \\ \exists \downarrow & & \downarrow \text{id} \\ F_* & \rightarrow & M \end{array}$$

□

## Prop. 1.4 (Short exact sequences $\Rightarrow$ Long exact sequences)

If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is a short exact sequence of  $R$ -modules,  
then  $\forall R\text{-mod } N, \exists$  long exact sequence of  $R$ -modules:

$$\begin{array}{c} \cdots \cdots \\ \curvearrowleft \text{Tor}_1(M', N) \rightarrow \text{Tor}_2(M, N) \rightarrow \text{Tor}_2(M'', N) \\ \curvearrowleft \text{Tor}_1(M', N) \rightarrow \underset{g}{\text{Tor}_2(M, N)} \rightarrow \text{Tor}_2(M'', N) \\ \curvearrowleft M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0 \end{array}$$

To prove Prop. 1.4, we need several ingredients:

Weibel

The key tool in constructing the connecting homomorphism is our next result, the Snake Lemma. We will not print the proof in these notes, because it is best done visually. In fact, a clear proof is given by Jill Clayburgh at the beginning of the movie Its My Turn (Rastar-Martin Elford Studios, 1980). As an exercise in "diagram chasing" of elements, the student should find a proof (but privately—keep the proof to yourself!).

Lemma 1.5 (Snake lemma): Given black commutative diagram:

$$\begin{array}{ccccccc} \text{ker}(f) & \rightarrow & \text{ker}(g) & \rightarrow & \text{ker}(h) & & \\ \downarrow & & \downarrow & & \downarrow & & \\ A' & \rightarrow & B' & \xrightarrow{p} & C' & \rightarrow & 0 \\ \circlearrowleft & f \downarrow & \circlearrowleft & g \downarrow & \circlearrowleft & h \downarrow & \\ 0 & \rightarrow & A & \xrightarrow{i} & B & \rightarrow & C \\ & & \downarrow & & \downarrow & & \downarrow \\ & & \text{Cok}(f) & \rightarrow & \text{Cok}(g) & \rightarrow & \text{Cok}(h) \end{array}$$

if rows are exact.



Then  $\exists$  exact sequence  $\text{ker}(f) \rightarrow \text{ker}(g) \rightarrow \text{ker}(h) \xrightarrow{\partial} \text{Cok}(f) \rightarrow \text{Cok}(g) \rightarrow \text{Cok}(h)$ .

Here:  $\partial(c') = i^{-1}g p^{-1}(c'), \quad c' \in \text{ker}(h)$ .

Moreover, if  $A' \hookrightarrow B' \Rightarrow \text{ker}(f) \hookrightarrow \text{ker}(g)$ .

if  $B \twoheadrightarrow C \Rightarrow \text{Cok}(g) \twoheadrightarrow \text{Cok}(h)$ .

Exercise: find your own proof of the snake's lemma. □

## Theorem 1.6 (Long exact sequence of cohomology).

Let  $0 \rightarrow A_* \xrightarrow{f} B_* \xrightarrow{g} C_* \rightarrow 0$  be a short exact sequence of chain complexes. Then there exists a long exact sequence

$$\dots \xrightarrow{f} H_{n+1}(B) \xrightarrow{g} H_{n+1}(C) \xrightarrow{\partial} H_n(A) \xrightarrow{f} H_n(B) \xrightarrow{g} H_n(C) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{f} \dots$$

$\partial$ : connecting homomorphisms.

Proof:  $Z_n(A) := \ker(A_n \xrightarrow{d} A_{n-1}) \subseteq A_n$   $n$ -cycles.

$$B_n(A) := \text{Im}(A_{n+1} \xrightarrow{d} A_n) \subseteq A_n$$
  $n$ -boundaries

$$B_n(A) \subseteq Z_n(A) \subseteq A_n.$$

$$H_n(A) = Z_n(A) / B_n(A)$$
  $n^{\text{th}}$  homology.

First, consider

$$0 \rightarrow A_n \rightarrow B_n \rightarrow C_n \rightarrow 0$$

$$\downarrow d \quad \downarrow d \quad \downarrow d$$

$$0 \rightarrow A_{n-1} \rightarrow B_{n-1} \rightarrow C_{n-1} \rightarrow 0$$

Snake's lemma  $\Rightarrow$

$$0 \rightarrow Z_n(A) \rightarrow Z_n(B) \rightarrow Z_n(C)$$

$$\rightarrow A_{n-1}/\frac{d}{d}A_n \rightarrow B_{n-1}/\frac{d}{d}B_n \rightarrow C_{n-1}/\frac{d}{d}C_n \rightarrow 0.$$

] exact  
↓

Secondly, consider

$$\frac{A_n}{dA_{n+1}} \rightarrow \frac{B_n}{dB_{n+1}} \longrightarrow \frac{C_n}{dC_{n+1}} \rightarrow 0$$

$$d \downarrow \quad d \downarrow \quad d \downarrow$$

$$0 \rightarrow Z_{n-1}(A) \rightarrow Z_{n-1}(B) \rightarrow Z_{n-1}(C)$$

rows exact  
↗ ↗ ↗

Snake's lemma (x2)  $\Rightarrow H_n(A) \rightarrow H_n(B) \rightarrow H_n(C)$

$$\curvearrowright H_{n-1}(A) \rightarrow H_{n-1}(B) \rightarrow H_{n-1}(C)$$

exact

□

Rmk: True for any abelian categories.

Lemma 1.7 (Horseshoe Lemma).  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  short exact sequence.



$$\cdots P_2 \rightarrow P_1 \rightarrow P_0 \xrightarrow{\varphi} A \rightarrow 0 \quad \begin{matrix} \text{proj. resol'n} \\ (\text{free}) \end{matrix}$$

$$B \downarrow f$$

$$C \downarrow g$$

$$\cdots Q_2 \rightarrow Q_1 \rightarrow Q_0 \xrightarrow{\eta} C \rightarrow 0 \quad \begin{matrix} \text{proj. resol'n} \\ (\text{free}) \end{matrix}$$

Then  $\exists$  projective resolutions  $R_*$  of  $B$  with  $R_n = P_n \oplus Q_n$   
(free)

s.t. right-hand column  $A \xrightarrow{f} B \xrightarrow{g} C$  lifts to an exact sequence of complexes

$$0 \rightarrow P_* \xrightarrow{i} P_* \oplus Q_* \xrightarrow{\pi} Q_* \rightarrow 0$$

s.t.  $i_n: P_n \hookrightarrow P_n \oplus Q_n$ ,  $\pi_n: P_n \oplus Q_n \rightarrow Q_n$  are the natural projections.

Proof:

$$Q_0 \xrightarrow{\exists \tilde{\eta}} B$$

$$\eta \swarrow \quad \downarrow g$$

$$Q_0 \rightarrow C$$

$$\begin{array}{ccccccc} P_1 & \xrightarrow{\varphi} & \text{Ker}(\varphi) & \rightarrow & P_0 & \xrightarrow{\varphi} & A \\ \downarrow & & \downarrow & & i \downarrow & & \downarrow f \\ P_1 \oplus Q_1 & \xrightarrow{\exists \xi} & \text{Ker}(\xi) & \rightarrow & P_0 \oplus Q_0 & \xrightarrow{\exists \xi} & B \\ \downarrow & & \downarrow & & \downarrow \pi & & \downarrow g \\ Q_1 & \xrightarrow{\eta} & \text{Ker}(\eta) & \rightarrow & Q_0 & \xrightarrow{\eta} & C \end{array}$$

Define  $\xi := \varphi \oplus \tilde{\eta}: P_0 \oplus Q_0 \rightarrow B$ .

Snake's lemma ( $\times 3$ ): is exact

Inductively, we are done.  $\square$

Proof of Prop. 1.4: using Lem. 1.7.  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ .

get  $0 \rightarrow F'_* \rightarrow F_* \rightarrow F''_* \rightarrow 0$  s.e.s. of resolutions.

$\Rightarrow 0 \rightarrow F'_* \otimes N \rightarrow F_* \otimes N \rightarrow F''_* \otimes N \rightarrow 0$ . s.e.s. of chain complexes.

Thm 1.6

$\Rightarrow$  long exact sequence of homologies.  $\square$

Example / Exercise 1.8:  $R = \mathbb{Z}$ . For any  $0 \neq m \in \mathbb{Z}$ .

Using the free resolution  $\mathbb{Z} \xrightarrow{\cdot m} \mathbb{Z}/m\mathbb{Z}$  of  $\mathbb{Z}/m\mathbb{Z}$ .

Show that for any abelian group  $B$ :

$$\text{Tor}_i^{\mathbb{Z}}(\mathbb{Z}/m\mathbb{Z}, B) = \begin{cases} B/mB & i=0. \\ \frac{mB}{mB} = \{b \in B \mid mb=0\} & i=1. \\ 0 & i \neq 0, 1. \end{cases} \quad \text{, } m\text{-torsion point of } B.$$

Similarly,  $\text{Ext}_R^n(M, N)$  is the derived functor of  $\text{Hom}_R(M, N)$ .

It can be defined as follows:

- Take free resolution  $F_* \rightarrow M$  of  $M$ .

- Get a cochain complex

$$\text{Hom}_R(F_0, N) \xrightarrow{\circ d} \text{Hom}_R(F_1, N) \xrightarrow{\circ d} \text{Hom}_R(F_2, N) \rightarrow \dots$$

Cohom. degree      0                  1                  2                  3

$$\cdot \text{Ext}_R^i(M, N) := H^i(\text{Hom}_R(F_*, N), \circ d).$$

The above process shows that  $\text{Ext}_R^i(M, N)$  is independent of

free resolution of  $M$  &  $\text{Ext}_R^i(L, N) : \text{Mod}_R^{\text{op}} \rightarrow \text{Mod}_R$

is functorial. Moreover, there is a long exact sequence associated with each s.e.s.  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  :

$$0 \rightarrow \text{Hom}(M'', N) \rightarrow \text{Hom}(M, N) \rightarrow \text{Hom}(M', N) \rightarrow \text{Ext}_R^1(M'', N) \rightarrow \dots$$

□

Next week: pure abstraction of derived functors à la Grothendieck's [Tohoku] paper.

## Aside\*: $\text{Ext}^1$ & group of Extensions

Let  $R = \mathbb{Z}$ , so that  $\text{Mod}_R = \text{Ab}$ , the category of abelian groups.

An extension of abelian groups  $B \rtimes A$  is a s.e.s:

$$\xi: 0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0 \quad \text{in } \text{Ab}.$$

2 extensions  $\xi$  &  $\xi'$  are equivalent if  $\exists$  commutative diagram:

$$\xi: 0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0$$

$$\parallel \qquad \downarrow \cong \qquad \parallel$$

$$\xi': 0 \rightarrow A \rightarrow E' \rightarrow B \rightarrow 0.$$

(0,1)

An extension is split if it is equivalent to  $0 \rightarrow B \rightarrow A \oplus B \rightarrow A \rightarrow 0$ .

Def'n:  $\text{Ext}(B, A) :=$  equivalent classes of extensions of  $B$  by  $A$ .

Exercise: Show that there exists a natural group *join*:

$$\text{Ext}(B, A) \cong \text{Ext}_{\mathbb{Z}}^1(B, A).$$

Hint: Let  $0 \rightarrow C \xrightarrow{f} P \rightarrow B \rightarrow 0$  be a short exact sequence with  $P$  a free abelian group.

Show  $\exists$  exact sequence

$$\text{Hom}(P, A) \xrightarrow{f^*} \text{Hom}(C, A) \xrightarrow{\delta} \text{Ext}^1(B, A) \rightarrow 0$$

$\text{Ext} \Rightarrow \text{Ext}^1$ : for any extension  $\xi$ , construct  $\beta \in \text{Hom}(C, A)$ , well-defined up to  $f^*\text{Hom}(P, A)$ .

$\text{Ext}^1 \Rightarrow \text{Ext}$ : for any  $\beta: C \rightarrow A$ , construct an extension  $\xi$  as

$$\begin{array}{c} \text{pushout} \\ \xi: 0 \rightarrow A \rightarrow E \rightarrow B \rightarrow 0 \\ \downarrow \qquad \downarrow \qquad \parallel \\ 0 \rightarrow C \rightarrow P \rightarrow B \rightarrow 0 \end{array}$$

Group structure: see Homework.

