

**Lemma (Triangular Criterion).** For  $i = 1, 2, \dots, m$ , let  $f_i : \Omega \rightarrow F$  be a function, where  $\Omega$  is an arbitrary set and  $F$  is a field, and let  $a_i \in \Omega$  be such that

$$f_i(a_j) = \begin{cases} \neq 0 & \text{if } i = j, \\ 0 & \text{if } i > j. \end{cases} \quad (21)$$

Then  $f_1, f_2, \dots, f_m$  are linearly independent over  $F$ .

	$a_1$	$a_2$	$a_3$	$a_4$	$\dots$
$f_1$	$m_1$				
$f_2$	0	$m_2$			
$f_3$	0	0	$m_3$		
$f_4$	0	0	0	$m_4$	
$f_5$	0	0	0	0	$m_5$
$\vdots$					

side. By (21), all but the  $j^{\text{th}}$  term vanish, and what remains is  $\lambda_j f_j(a_j) = 0$ . This, again by (21), implies  $\lambda_j = 0$ , contradicting the choice of  $j$ .  $\square$

### (3.1) Point Sets in $R^n$ with Only Two Distances

Let  $A = \{a_1, a_2, \dots, a_m\}$  be a set of points in  $R^n$ . Call  $A$  a **two-distance set** if there exist two positive constants  $\delta_1$  and  $\delta_2$  such that for any  $1 \leq i < j \leq m$ , the Euclidean distance between  $a_i$  and  $a_j$ ,  $\|a_i - a_j\| \in \{\delta_1, \delta_2\}$ . Denote

$$m(n) = \text{Max}\{|A| : A \subseteq R^n \text{ is a two-distance set}\}.$$

**Theorem 11.**  $n(n-1)/2 \leq m(n) \leq (n+1)(n+4)/2$ .

**Remark.** The ratio of the two bounds tends to 1 as  $n \rightarrow \infty$ .

$$\frac{n(n-1)}{2} \cdot \frac{2}{(n+1)(n+4)} \xrightarrow{n \rightarrow +\infty} 1$$

*Proof.* To establish the lower bound, let  $e_i$  be the vector in  $R^n$  whose  $j^{\text{th}}$  entry is 1 if  $i = j$  and 0 otherwise for  $i = 1, 2, \dots, n$ . Consider

$$A = \{e_i + e_j : 1 \leq i < j \leq n\}.$$

$$\left. \begin{matrix} e_a + e_b \\ e_c + e_d \end{matrix} \right\} \begin{cases} \text{if } a+c \neq b+d, \text{ distance } 2 \\ \text{if } a=c \text{ or } b=d, \text{ distance } \sqrt{2} \end{cases}$$

Then the pairwise distances between any two points in  $A$  take  $\sqrt{2}$  and 2. Hence  $A$  is a two-distance set, and therefore  $m(n) \geq |A| = n(n-1)/2 = \binom{n}{2}$ .

To derive the upper bound, consider an arbitrary two-distance set  $A = \{a_1, a_2, \dots, a_m\}$ , whose two distances are  $\delta_1$  and  $\delta_2$ . We aim to prove that  $|A| \leq (n+1)(n+4)/2$ .

Define the polynomial

$$F(x, y) = (\|x - y\|^2 - \delta_1^2)(\|x - y\|^2 - \delta_2^2),$$

where  $x, y \in R^n$  and  $\|\cdot\|$  is the Euclidean norm. Then this polynomial puts our two-distance condition in a simple algebraic form

$$F(a_i, a_j) = \begin{cases} 0 & \text{if } i \neq j; \\ \delta_1^2 \delta_2^2 & \text{otherwise.} \end{cases} \quad (22)$$

Now let  $f_i(x) = F(x, a_i)$  for  $i = 1, 2, \dots, m$ , where  $x = (x_1, x_2, \dots, x_n)^T \in R^n$ . Then (22) becomes

$$f_i(a_j) = \begin{cases} 0 & \text{if } i \neq j; \\ \delta_1^2 \delta_2^2 & \text{otherwise. } i = j \end{cases}$$

So, by the triangular criterion,  $f_1(x), f_2(x), \dots, f_m(x)$  are linearly independent over  $R$ .

*Claim.* All polynomials  $f_i(x)$  can be represented as linear combinations of the following ones

$$(\sum_{k=1}^n x_k^2)^2, (\sum_{k=1}^n x_k^2)x_j, x_i x_j, x_i, 1, \quad (23)$$

where  $1 \leq i, j \leq n$ .

To justify the claim, let  $a_{i,k}$  be the  $k^{th}$  entry of  $a_i$ . Then

$$\begin{aligned} f_i(x) &= (\|x - a_i\|^2 - \delta_1^2)(\|x - a_i\|^2 - \delta_2^2) \\ &= [\sum_{k=1}^n x_k^2 - 2 \sum_{k=1}^n a_{i,k} x_k + (\sum_{k=1}^n a_{i,k}^2 - \delta_1^2)] \cdot \\ &\quad \cdot [\sum_{k=1}^n x_k^2 - 2 \sum_{k=1}^n a_{i,k} x_k + (\sum_{k=1}^n a_{i,k}^2 - \delta_2^2)]. \end{aligned}$$

Expanding the product, we can see that  $f_i(x)$  is a linear combination of terms listed in (23), as claimed.

Now let  $S$  be the linear space spanned by polynomials exhibited in (23) over  $R$ , that is,  $S$  is the set of all polynomials which can be written as

$$\alpha(\sum_{k=1}^n x_k^2)^2 + \sum_{j=1}^n \beta_j(\sum_{k=1}^n x_k^2)x_j + \sum_{1 \leq i, j \leq n} \gamma_{ij}x_i x_j + \sum_{i=1}^n w_i x_i + c,$$

where  $\alpha, \beta_j, \gamma_{ij}, w_i$ , and  $c$  are all real numbers. Then the dimension of  $S$  is at most the number of polynomials exhibited in (23), which is

$$1 + n + \left[ \binom{n}{2} + n \right] + n + 1 = (n+1)(n+4)/2.$$

In view of the independence of  $f_1(x), f_2(x), \dots, f_m(x)$ , we have  $m \leq \dim(S) \leq (n+1)(n+4)/2$ , completing the proof.  $\square$

### (3.2) Sets with Few Intersection Sizes

Let  $X$  be a set of  $n$  elements, let  $\mathcal{F}$  be a family of subsets of  $X$ , and let  $L$  be a finite set of nonnegative integers. We call  $\mathcal{F}$  an  $L$ -intersecting family if  $|A \cap B| \in L$  for every pair of distinct members  $A, B$  of  $\mathcal{F}$ .

**Theorem 12** (Frankl-Wilson). *Let  $L$  be a set of  $s$  integers and let  $\mathcal{F}$  be an  $L$ -intersecting family of an  $n$ -set. Then*

$$|\mathcal{F}| \leq \binom{n}{s} + \binom{n}{s-1} + \dots + \binom{n}{0}.$$

**Remark.** The bound is sharp in terms of parameters  $n$  and  $s$ , as shown by the family of all subsets of size  $\leq s$  of an  $n$ -set.

*Proof.* Let  $L = \{\ell_1, \ell_2, \dots, \ell_s\}$ ,  $X = \{1, 2, \dots, n\}$ , and  $\mathcal{F} = \{A_1, A_2, \dots, A_m\}$ , where  $A_i \subseteq X$  and  $|A_1| \leq |A_2| \leq \dots \leq |A_m|$ . With each set  $A_i$ , we associate its incidence

for theorem 11 best known upper bound  $m(n) \leq \binom{n+2}{2}$

let  $a_1, a_2$  be two vectors in  $A$ , then

• case 1

$$\begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \dots \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \quad \text{then } \|a_1 - a_2\| = \sqrt{1+1} = \sqrt{2}$$

$\parallel$   
 $a_1$                        $a_2$

• case 2

$$\begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix} \quad \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} \quad \text{then } \|a_1 - a_2\| = \sqrt{1+1+1+1} = 2$$

$\parallel$   
 $a_1$                        $a_2$

remark. Blokhuis established the upper bound  $m(n) \leq \binom{n+2}{2}$

by showing that  $f_1(\bar{x}), f_2(\bar{x}), \dots, f_m(\bar{x}), x_1, x_2, \dots, x_{n+1}$

are linearly independent in  $S$ , where  $\bar{x} = (x_1, x_2, \dots, x_n)$

$$\Rightarrow m + (n+1) \leq \dim S \leq \frac{(n+1)(n+4)}{2}$$

$$\Rightarrow m \leq \binom{n+2}{2}$$

in page 23, we define polynomial  $f_i(x) = \prod_{k: l_k < |A_i|} (v_i^T x - l_k)$

substitute  $v_j = x$ , if  $i=j$ :  $f_i(x) = \prod_{k: l_k < |A_i|} (v_i^T v_i - l_k) = \prod_{k: l_k < |A_i|} (|A_i| - l_k) > 0$

if  $i \neq j$ :  $f_i(x) = \prod_{k: l_k < |A_i|} (v_i^T v_j - l_k) = \prod_{k: l_k < |A_i|} (|A_i \cap A_j| - l_k) > 0$

note that  $|A_i \cap A_j| < |A_i|$  otherwise  $|A_i \cap A_j| = |A_i| \Rightarrow A_i \subseteq A_j \Rightarrow A_i \subset A_j \Rightarrow |A_i| < |A_j|$  contradiction the order in assumption

$|A_1| \leq |A_2| \leq |A_3| \leq \dots \leq |A_m|$  as  $i > j$

$|A_i \cap A_j| = l_k, \exists l_k \in L$  with  $l_k < |A_i|$ , hence  $f_i(v_j) = 0$  with  $i \neq j$

in page 23, for example, let  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$

•  $f(x) = x_1^3 x_4 x_7^7 - x_2^2 x_4^2 x_7$  is mapped into

$$\bar{f}(x) = x_1 x_4 x_7 - x_2 x_4 x_7$$

•  $g(x) = 3x_1^3 x_7^2 - 2x_2^2 x_7^6$  is mapped into

$$\bar{g}(x) = x_1 x_7$$

$\binom{s}{k}$

generators of this space are  $1, x_{i_1} x_{i_2} \dots x_{i_k}$  monomial  $\forall 1 \leq i_1 < i_2 < \dots < i_k \leq s$

$\chi_I := \prod_{i \in I} x_i, \forall I \subset \{1, 2, 3, \dots, s\}$ , then  $\chi_I$ 's are linearly independent over  $\mathbb{R}$ , because

if  $\sum_{I \subset [s]} \lambda_I \chi_I = 0$ , then  $\lambda_I = 0, \forall I \subset [s]$ , for otherwise, let  $I_0 \subset [s]$  be of smallest

size such that  $\lambda_{I_0} \neq 0$

setting  $x_i = 1, \forall i \in I_0$  and  $x_j = 0, \forall j \notin I_0$  and plugging it into  $\sum_{I \subset [s]} \lambda_I \chi_I = 0$

we have  $\lambda_{I_0} = 0$ , a contradiction

vector  $v_i \in R^n$  such that the  $k^{th}$  entry of  $v_i$  is 1 if  $k \in A_i$  and 0 otherwise. Then  $v_i^T v_j = |A_i \cap A_j|$ .

For  $i = 1, 2, \dots, m$ , let us define the polynomial  $f_i$  in  $n$  variables as follows

$$f_i(x) = \prod_{k: \ell_k < |A_i|} (v_i^T x - \ell_k), \text{ where } x \in R^n.$$

*when  $x = v_i$ ,  $x_i^T \cdot x_i = |A_i|$*

Observe that

$$f_i(v_j) = \begin{cases} \neq 0 & \text{if } i = j, \\ 0 & \text{if } i > j. \end{cases} \quad |A_i| > |A_j| \quad (24)$$

Let us now restrict the domain of  $f_i$  to the  $n$ -cube  $\Omega = \{0, 1\}^n \subseteq R^n$ . Since each  $v_j \in \Omega$ , by (24) and the triangular criterion,  $f_i$ , regarded as  $\Omega \rightarrow R$  functions, are linearly independent over  $R$ .

*$\forall x \in \Omega, \forall n \in \mathbb{N}, x_i^0 = x_i$*   
*polynomial  $p = \sum_{i=1}^n c_i x_i^{a_i} x_2^{a_2} \dots x_n^{a_n}$*   
*so  $i_j \in \mathbb{N} \Rightarrow i_j \in \{0, 1\}$*   
 *$p = \sum_{s \in [n]} a_s \prod_{k \in s} x_k$*

over field  $\mathbb{F}$ , In the domain  $\Omega$ , we have  $x_i^2 = x_i$  for each variable, and thus every  $\Omega \rightarrow R$  polynomial is multilinear, where a *multilinear polynomial* is the sum of monomials, and each *monomial* is of the form  $cx_{i_1}x_{i_2}\dots x_{i_k}$ , where  $c \in R$ . The *degree* of a multilinear polynomial is the maximum number of variables in a monomial. So each  $f_i$  is a multilinear polynomial of degree at most  $s$ . Since the space of multilinear polynomials of degree  $\leq s$  is generated by the products of  $\leq s$  distinct variables, its dimension is  $\sum_{k=0}^s \binom{n}{k}$ , which is an upper bound for  $m$  since  $f_1, f_2, \dots, f_m$  are linearly independent.  $\square$

*consider  $f_i(x) = \prod_{\ell \in L} (v_i^T x - \ell)$*   
*from  $\Omega = \{0, 1\}^n$  to  $\mathbb{F}_p$*

Using essentially the same argument, we can also establish the “modular” form of the above theorem. Write  $r \in L \pmod{p}$  if  $r \equiv \ell \pmod{p}$  for at least one  $\ell \in L$ .

**Theorem 13** (Deza-Frankl-Singhi). *Let  $L$  be a set of integers and let  $p$  be a prime number. Assume  $\mathcal{F} = \{A_1, A_2, \dots, A_m\}$  is a family of subsets of an  $n$ -set such that*

- (a)  $|A_i| \notin L \pmod{p}$  for  $i = 1, 2, \dots, m$ .
- (b)  $|A_i \cap A_j| \in L \pmod{p}$  for  $1 \leq i < j \leq m$ .

*Then  $|\mathcal{F}| \leq \sum_{i=0}^{|L|} \binom{n}{i}$ .*  $\square$

#### 4° The General Position Method

Let  $W$  be a linear space of dimension  $n$ . We say that a point set  $S \subseteq W$  is in *general position* if any  $n$  points of  $S$  are linearly independent. The following set in  $R^n$

$$M_n = \{m_n(\alpha) = (1, \alpha, \dots, \alpha^{n-1})^T : \alpha \in R\}$$



is called the *moment curve*. Clearly, the points of the moment curve are in general position since

$$\begin{vmatrix} 1 & 1 & 1 & \dots & 1 \\ \alpha_1 & \alpha_2 & \alpha_3 & \dots & \alpha_n \\ \alpha_1^2 & \alpha_2^2 & \alpha_3^2 & \dots & \alpha_n^2 \\ \vdots & \vdots & \vdots & & \vdots \\ \alpha_1^{n-1} & \alpha_2^{n-1} & \alpha_3^{n-1} & \dots & \alpha_n^{n-1} \end{vmatrix} = \prod_{1 \leq i < j \leq n} (\alpha_j - \alpha_i) \neq 0$$

Vandermonde determinant

On page 24  
Moment curve

whenever  $\alpha_1, \alpha_2, \dots, \alpha_n$  are distinct.

#### (4.1) Bollabás' Theorem on Set Systems

**Theorem 14 (Bollabás).** Let  $A_1, A_2, \dots, A_m$  be  $r$ -sets and let  $B_1, B_2, \dots, B_m$  be  $s$ -sets such that

- (a)  $A_i$  and  $B_i$  are disjoint for  $i = 1, 2, \dots, m$ ;
- (b)  $A_i$  and  $B_j$  intersect whenever  $1 \leq i \neq j \leq m$ .

Then  $m \leq \binom{r+s}{r}$ .

**Remark.** The bound is tight: Let  $V$  be an  $(r+s)$ -set, let  $A_1, A_2, \dots, A_m$  be all  $r$ -subsets of  $V$ , where  $m = \binom{r+s}{r}$ , and let  $B_i = V - A_i$  for each  $i$ .

*Proof.* Let  $V$  be the union of all the sets  $A_i$  and  $B_i$ . We associate a vector  $p(v) = (p_0(v), p_1(v), \dots, p_r(v))^T \in R^{r+1}$  with each element  $v \in V$  such that the set of vectors obtained are in general position, that is, any  $r+1$  of them are linearly independent. With every set  $W \subseteq V$  we associate a polynomial  $f_W(x)$  in the  $r+1$  variables  $x = (x_0, x_1, \dots, x_r)^T$  as follows:

$$f_W(x) = \prod_{v \in W} \langle p(v), x \rangle$$

$$f_W(x) = \prod_{v \in W} (p_0(v)x_0 + p_1(v)x_1 + \dots + p_r(v)x_r).$$

This is a homogeneous polynomial of degree  $|W|$ . Clearly,

$$f_W(x) = \begin{cases} \neq 0 & \text{if } x \text{ is orthogonal to none of the } p(v), v \in W; \\ 0 & \text{otherwise.} \end{cases} \quad (25)$$

Let  $f_i(x)$  stand for  $f_{B_i}(x)$ . Then  $f_i$  is homogeneous of degree  $s$ .

*in general position* The vectors  $p(v)$  corresponding to all  $v \in A_i$  generate a subspace  $U_i \subseteq R^{r+1}$  of dimension  $r$ . Then  $\dim(U_i^\perp) = r+1 - \dim(U_i) = 1$ . We can therefore select a nonzero  $a_i \in U_i^\perp$  so that, for any  $v \in V$ ,  $a_i$  is orthogonal to  $p(v)$  iff  $p(v) \in U_i$  iff  $v \in A_i$ .

From (25) we see that  $f_i(a_j) = 0$  precisely when  $A_j$  and  $B_i$  intersect, that is,

$$f_i(a_j) = \begin{cases} \neq 0 & \text{if } i = j. \\ 0 & \text{if } i \neq j. \end{cases}$$

$f_{B_i}(a_j)$

$A_j \cap B_i = \emptyset$   
 $A_j \cap B_i \neq \emptyset$   
 $\downarrow$   
 $\exists v \in B_i \subseteq A_j \cap B_i$   
such that  $p(v) \perp a_j$

- 2-combinations from the set  $\{a, b, c\}$  with no repetition allowed:

$$\{a, b\}, \{b, c\}, \{a, c\}.$$

- 2-combinations from the set  $\{a, b, c\}$  with repetition allowed:

$$\{a, b\}, \{b, c\}, \{a, c\}, \{a, a\}, \{b, b\}, \{c, c\}.$$

**Theorem 1.** The total number of  $r$ -combinations from an  $n$ -set with no repetition allowed is  $\binom{n}{r}$ .

**Theorem 2.** The total number of  $r$ -combinations from an  $n$ -set with repetition allowed is  $\binom{n+r-1}{r}$ .

From this and the triangular criterion it follows that  $f_1, f_2, \dots, f_m$  are linearly independent. Therefore, their number  $m$  is not greater than the dimension of the space of homogeneous polynomials of degree  $s$  in  $r+1$  variables, which equals the number of  $s$ -combinations of  $r+1$  distinct objects with repetition,  $\binom{(r+1)+s-1}{s}$ . So  $m \leq \binom{r+s}{s} = \binom{r+s}{r}$ , completing the proof.  $\square$

## (4.2) Gale's Theorem and Kneser's Conjecture

The purpose of this section is to find out how to distribute points fairly evenly on a sphere. An application of this result to the chromatic theory of graphs will be a proof of Kneser's conjecture.

The  $r$ -sphere  $S^r \subset R^{r+1}$  is defined as the set of vectors of unit length in  $R^{r+1}$ , that is

$$S^r = \{x \in R^{r+1} : \|x\| = 1\}.$$

An open hemisphere centered at  $a$  is defined as the part of  $S^r$  lying strictly on one side of a linear hyperplane:  $\{x \in S^r : a^T x > 0\}$ , where  $a \in R^{r+1}$  and  $a \neq 0$ .

Let us consider the problem on how to distribute  $2m+r$  points on the  $r$ -sphere so that every open hemisphere contains at least  $m$  of them. It is easy to fulfill the target on 1-sphere: just take the vertices of a regular  $(2m+1)$ -gon. How about the general case?

**Theorem 15 (Gale).** For every  $m, r \geq 0$ , there exists an arrangement of  $2m+r$  points on the  $r$ -sphere such that every open hemisphere contains at least  $m$  of them.

Let us make some preparations before presenting a proof of this theorem.

$$M_n = \{m_n(\alpha) = (1, \alpha, \dots, \alpha^{n-1})^T : \alpha \in R\}$$

moment curve

**Lemma (Moment Curve Kissing Lemma).** Let  $d \geq 1$ ,  $0 \leq k \leq d/2$ , and  $\alpha_1, \dots, \alpha_k \in R$ . Then there is a linear hyperplane  $P : c^T x = 0$  in  $R^{d+1}$  such that the moment curve  $M_{d+1} \subset R^{d+1}$  lies entirely on one side of  $P$  and that the only points of  $M_{d+1}$  contained in  $P$  are  $m_{d+1}(\alpha_i)$ , for  $i = 1, 2, \dots, k$ .

*Proof.* We aim to find a linear hyperplane of the form  $c^T x = 0$  for some  $c = (\gamma_0, \gamma_1, \dots, \gamma_d)^T$  in  $R^{d+1}$  so that

- $c^T m_{d+1}(\alpha) > 0$  for any  $\alpha \in R - \{\alpha_1, \dots, \alpha_k\}$ ; and
- $c^T m_{d+1}(\alpha_i) = 0$  for  $i = 1, 2, \dots, k$ .

Consider the polynomial  $f(\alpha) = \prod_{i=1}^k (\alpha - \alpha_i)$ . Now define  $\gamma_i$  to be the coefficients of the polynomial  $f^2$ , that is,

$$\gamma_0 + \gamma_1 \alpha + \dots + \gamma_d \alpha^d = [f(\alpha)]^2.$$

This makes sense as  $2k \leq d$ . With  $c = (\gamma_0, \gamma_1, \dots, \gamma_d)^T$  we have  $c^T m_{d+1}(\alpha) = [f(\alpha)]^2$  for any  $\alpha \in R$ , from which both requirements follow immediately.  $\square$

**Corollary.** Let  $1 \leq d \leq n-1$  and  $0 \leq k \leq d/2$ . Then there exists a matrix  $A \in R^{n \times (d+1)}$  with the following properties:

- the rows of  $A$  are in general position (every  $d+1$  of them are linearly independent).  
Moreover,
- for every  $k$ -subset  $I$  of  $[n] = \{1, 2, \dots, n\}$ , there exists a vector  $c \in R^{d+1}$  such that, letting  $Ac = (\beta_1, \beta_2, \dots, \beta_n)^T$ , we have  $\beta_i = 0$  if  $i \in I$  and  $\beta_i > 0$  if  $i \notin I$ .

*Proof.* To see this, let  $A$  be the matrix with rows  $m_{d+1}(\alpha_1)^T, m_{d+1}(\alpha_2)^T, \dots, m_{d+1}(\alpha_n)^T$  for any  $n$  distinct reals  $\alpha_i$ , and let  $c$  be the normal vector of the linear hyperplane corresponding to the set  $\{m_{d+1}(\alpha_i) : i \in I\}$  as described in the lemma.  $\square$

Now we are ready to prove Gale's theorem.  $S^r \subset \mathbb{R}^{r+1}$

*Proof of Theorem 15.* Let  $n = 2m + r$ . We need to find nonzero vectors  $v_1, v_2, \dots, v_n \in R^{r+1}$  such that for every nonzero vector  $x \in R^{r+1}$ , at least  $m$  of the inequalities  $v_i^T x > 0$  ( $i = 1, 2, \dots, n$ ) hold. Indeed, given such  $v_i$ , dividing each by its length, we obtain an appropriate set of points on the sphere.

We construct the  $v_i$ 's in the following somehow mysterious way.

Let  $d = 2m - 2$ . Take an  $n \times (d+1)$  matrix  $A$  with the properties guaranteed by the Corollary. Recall that  $rk(A) = d+1$ . Let  $U = \{x \in R^n : A^T x = 0\}$ . Then  $\dim(U) = n - d - 1$ . Now let  $B$  be an  $n \times (n - d - 1)$  matrix whose columns form a basis of  $U$ . Denote the rows of  $B$  by  $v_1^T, v_2^T, \dots, v_n^T$ . Since  $n - d - 1 = r + 1$ ,  $v_i \in R^{r+1}$  for each  $i$ . We aim to prove that  $v_1, v_2, \dots, v_n$  are as desired.  $n = 2m + r$   
 $d = 2m - 2$

Since  $rk(B) = r + 1$  (i.e.  $B$  has full column rank),  $Bx \neq 0$  for any nonzero  $x \in R^{r+1}$ . Now let us show that the number of positive entries in  $Bx$  is at least  $m$ .

Suppose the contrary:  $z = (z_1, z_2, \dots, z_n)^T = Bx$  has at most  $m - 1$  positive entries; let  $I \subseteq [n]$  be the corresponding index set of these positive entries. Then  $|I| \leq m - 1 \leq d/2$ . By the Corollary, there exists  $c \in R^{d+1}$  such that  $Ac = b = (\beta_1, \beta_2, \dots, \beta_n)^T$  satisfies  $\beta_i = 0$  for any  $i \in I$  and  $\beta_i > 0$  for any  $i \notin I$ . Since  $A^T B = 0$ ,  $b^T z = c^T A^T Bx = 0$ . But

$$b^T z = \sum_{i \in I} \beta_i z_i + \sum_{i \notin I} \beta_i z_i = \sum_{i \notin I} \beta_i z_i,$$

so we must have  $z_i = 0$  for any  $i \notin I$ .

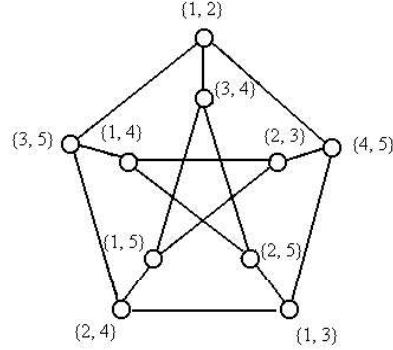
It follows from the above statement that the number of nonzero entries of  $z$  is  $|I| \leq d/2 < d + 1$ . Since  $A^T z = A^T Bx = 0$  and since the rows of  $A$  are in general position, we have  $z = 0$ , contradicting the fact  $z = Bx \neq 0$ .  $\square$

Let us now proceed to Kneser's conjecture and its proof.

In 1955, Kneser proposed a class of graphs with no short odd cycles and with suspected large chromatic number.

**Definition.** For  $n \geq 2m + 1$ , the vertex set of Kneser's graph  $K(n, m)$  is  $\binom{[n]}{m}$ , the collection of all  $m$ -subsets of  $[n] = \{1, 2, \dots, n\}$ . Two vertices  $A, B \in \binom{[n]}{m}$  are adjacent iff  $A \cap B = \emptyset$ .

Observe that the Peterson graph is the Kneser graph  $K(5, 2)$ .



Setting  $n = 2m + r$  ( $r \geq 1$ ), Kneser's graph has a legal coloring with  $r + 2$  colors: Take element 1 of  $[n]$  and assign color 1 to all  $m$ -subsets of  $[n]$  containing 1. Next, take element 2 of  $[n]$  and assign color 2 to all uncolored  $m$ -subsets of  $[n]$  containing 2. Proceed in this way until there are  $2m - 1$  elements of  $[n]$  left. We have used  $(2m + r) - (2m - 1) = r + 1$  colors. The  $r + 2^{\text{nd}}$  color is assigned to all  $m$ -subsets formed by the remaining  $2m - 1$  elements (this coloring is valid since any two  $m$ -subsets contained in these  $2m - 1$  elements intersect). A formal description of this procedure is given below.

#### Algorithm

- Step 1. Set  $G_1 = K(n, m)$  and  $i = 1$ .
- Step 2. If  $i = r + 2$ , goto Step 4. Else, let  $V_i$  be the set of all vertices  $v$  of  $G_i$  such that the corresponding  $m$ -subset of  $v$  in  $[n]$  contains  $i$ . Color all vertices in  $V_i$  by color  $i$ .
- Step 3. Set  $G_{i+1} = G_i - V_i$  and  $i = i + 1$ , goto Step 2.
- Step 4. Color all vertices of  $G_{r+2}$  by color  $r + 2$ , stop.

Kneser conjectured that  $r + 2$  is the precise chromatic number in all cases. This conjecture was proved by Lovász, using a clever topological method, in 1978.

**Theorem 16** (Lovász). The chromatic number of Kneser's graph  $K(2m + r, m)$  is  $r + 2$ .

The following short proof is due to Bárány, which is based on Gale's theorem on how to distribute points evenly on a sphere (Theorem 15). The following theorem also plays an important role in the proof.

**The Antipodal Lemma.** If  $U_1, U_2, \dots, U_{r+1}$  are open sets in  $S^r$  that cover  $S^r$ , then some  $U_i$  contains an antipodal pair of points  $x, y$ , that is,  $x + y = 0$ .  $\square$

果?

*Proof of Theorem 16.* Suppose the contrary:  $K(2m+r, m)$  can be colored with  $r+1$  colors. Let us fix such a coloring and let  $G \subset S^r$  be a Gale-set of  $2m+r$  points, i.e. a set with the property that every open hemisphere contains at least  $m$  points of  $G$  (recall Theorem 15). We construct open sets  $U_1, U_2, \dots, U_{r+1}$  in  $S^r$  as follows:  $x \in U_i$  iff the open hemisphere centered at  $x$  contains  $m$  points which correspond to a vertex of  $K(2m+r, m)$  with color  $i$ . Clearly,  $U_1, U_2, \dots, U_{r+1}$  is a cover of  $S^r$ . From the antipodal lemma, it follows that some  $U_k$  contains a pair of antipodal points  $x$  and  $-x$ , which implies that there is a vertex of  $K(2m+r, m)$  with color  $k$  contained in the open hemisphere centered at  $x$ , there is another vertex of  $K(2m+r, m)$  with color  $k$  contained in the open hemisphere centered at  $-x$ . Since these two open hemisphere are disjoint, the two corresponding vertices are adjacent according to the definition of the Kneser graph, but they receive the same color  $k$ , a contradiction.  $\square$

## 5° Miscellaneous Topics

### (5.1) Helly's Theorems

A *convex combination* of the vectors  $v_1, v_2, \dots, v_m \in R^n$  is a linear combination  $\sum_{i=1}^m \lambda_i v_i$  ( $\lambda_i \in R$ ), where  $\sum_{i=1}^m \lambda_i = 1$  and  $\lambda_i \geq 0$ . A *convex set* is a subset of  $R^n$ , closed under convex combinations. The *convex hull* of a subset  $S \subseteq R^n$ , denoted by  $\text{conv}(S)$ , is the set of all convex combinations of the finite subsets of  $S$ . Clearly,  $S$  is convex iff  $S = \text{conv}(S)$ . It also can be shown that  $S$  is convex iff it contains the straight line segment connecting every pair of points of  $S$ .

The dimension of a linear space over the reals has characterizations in terms of intersection properties of convex sets. The results, Helly's theorems, have a long history of analogues in combinatorics.

**Theorem 17.** If  $C_1, C_2, \dots, C_m \subseteq R^n$  are convex sets such that any  $n+1$  of them intersect, then all of them intersect.

**Theorem 18.** If  $C_1, C_2, \dots, C_m, K \subseteq R^n$  are convex sets such that for any  $n+1$  of  $C_1, C_2, \dots, C_m$ , there exists  $d \in R^n$  for which  $K+d$  intersects all of them, then there exists  $d^* \in R^n$  such that  $K+d^*$  intersects all  $C_i$ 's.

**Remark.** The quantity  $n+1$  cannot be reduced in either theorem. For Theorem 17, consider the facets of a full-dimensional simplex.

Both theorems can be deduced from the following observation due to Radon.

**Lemma (Radon).** Let  $S$  be a set of  $m \geq n + 2$  points in  $R^n$ . Then  $S$  has two disjoint subsets  $S_1$  and  $S_2$  whose convex hulls intersect.

*Proof.* Let  $S = \{s_1, s_2, \dots, s_m\}$ . Then  $s_1 - s_m, s_2 - s_m, \dots, s_{m-1} - s_m$  are linearly dependent as  $m \geq n + 2$ . So there exist not all zero  $\lambda_i$ 's such that  $\sum_{i=1}^{m-1} \lambda_i (s_i - s_m) = 0$ , or  $\sum_{i=1}^{m-1} \lambda_i s_i + \lambda_m s_m = 0$ , where  $\lambda_m = -\sum_{i=1}^{m-1} \lambda_i$ . Note that  $\sum_{i=1}^m \lambda_i = 0$ . Now let  $S_1$  consist of those  $s_i$  with nonnegative coefficients  $\lambda_i$  and let  $S_2 = S - S_1$ . Separating the two subsets of terms in the above equation, we obtain a relation

$$\sum_{s_i \in S_1} \lambda_i s_i = \sum_{s_j \in S_2} \mu_j s_j, \quad \begin{cases} \text{LHS: } \text{conv}(S_1) \\ \text{RHS: } \text{conv}(S_2) \end{cases} \quad (26)$$

*maybe  $\forall j: s_j \in S_2$   
we say  $\mu_j = -\lambda_j$*

where  $\sum_{s_i \in S_1} \lambda_i = \sum_{s_j \in S_2} \mu_j$ ,  $\lambda_i \geq 0$ ,  $\mu_j > 0$  for each  $s_i \in S_1$  and  $s_j \in S_2$ , and  $S_1 \neq \emptyset \neq S_2$ . Thus dividing each side of (26) by  $\sum_{s_i \in S_1} \lambda_i$ , we obtain a point in  $\text{conv}(S_1) \cap \text{conv}(S_2)$ .  $\square$

*Proof of Theorem 17.* Assume first that  $m = n + 2$ . Let  $a_i$  be a point in  $\bigcap_{j \neq i} C_j$ . Let  $S = \{a_1, a_2, \dots, a_m\}$ . By Radon's lemma,  $S$  has two disjoint subsets  $S_1$  and  $S_2$  with intersecting convex hulls; let  $w \in \text{conv}(S_1) \cap \text{conv}(S_2)$ . We claim that  $w$  belongs to all  $C_i$ . Indeed, for each  $i$ ,  $a_i$  belongs to at most one of  $S_1$  and  $S_2$ . Suppose, say  $a_i \notin S_1$ . Then by the selection of  $a_i$ , we see that  $S_1 \subseteq S - \{a_i\} \subseteq C_i$ . So  $\text{conv}(S_1) \subseteq C_i$  as  $C_i$  is convex. Hence  $w \in C_i$ . This concludes the proof for  $m = n + 2$ .

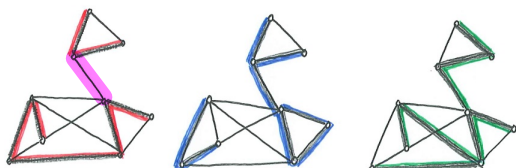
The general case follows by induction on  $m$ . For  $m \leq n + 1$ , there is nothing to prove. Assume  $m \geq n + 3$ . By the special case just proved, every  $n + 2$  of the  $C_i$  intersect. So every  $n + 1$  of  $C_1, C_2, \dots, C_{m-2}, C_{m-1} \cap C_m$  intersect. But then, by induction hypothesis, all intersect.  $\square$

The proof of Theorem 18 is left as an exercise.

## (5.2) The Matrix-Tree Theorem

Let  $T$  be a subgraph of a simple graph  $G$ . Call  $T$  a *spanning tree* of  $G$  if  $T$  contains  $n - 1$  edges and contains no cycles, where  $n$  is the number of vertices in  $G$ . The purpose of this section is to count the total number of spanning trees,  $k(G)$ , in a given labeled graph  $G$ . For instance, there are 16 distinct spanning trees in labeled  $K_4$  altogether as

(1) spanning tree



$n = 4$

edge 3

29

spanning tree counting problem

Input A connected, labeled and simple graph  $G$

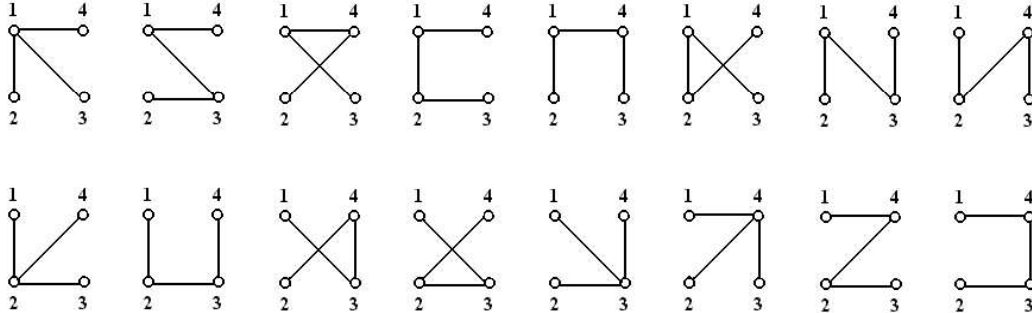
Output  $k(G)$

e.g. If  $K_4$  is labeled, then  $k(G) = 16$ .

If  $K_4$  is unlabeled, then  $\exists$  only 2 different spanning trees

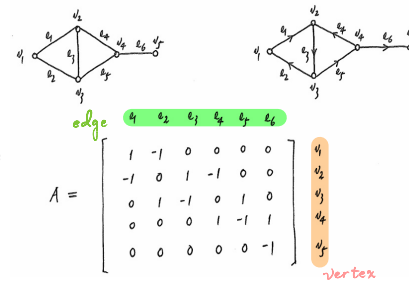
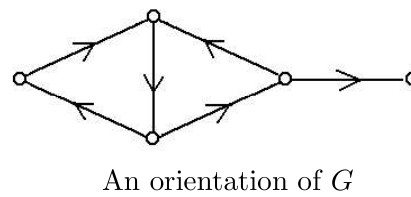
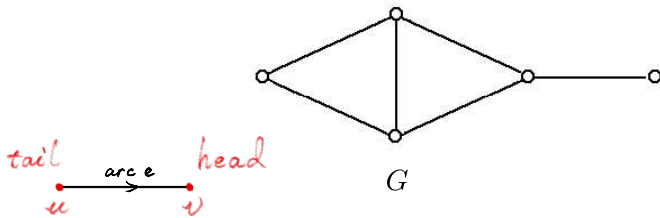


shown below. So  $k(K_4) = 16$ .



定向

Consider an arbitrary *orientation*  $D$  of  $G$ , which is a digraph obtained from  $G$  by assigning a direction to each edge of  $G$ .



Each directed edge in  $D$  is called an *arc*. If arc  $e$  is directed from  $u$  to  $v$ , then  $u$  is called the *tail* and  $v$  the *head* of  $e$ . Suppose the *vertex set* of  $D$  is  $\{v_1, v_2, \dots, v_n\}$  and the *arc set* is  $\{e_1, e_2, \dots, e_m\}$ . The *incidence matrix*  $A = (a_{ij})_{n \times m}$  of  $D$  is defined by

$$a_{ij} = \begin{cases} 1 & \text{if } v_i \text{ is the tail of } e_j; \\ -1 & \text{if } v_i \text{ is the head of } e_j; \\ 0 & \text{otherwise.} \end{cases}$$

Notice that  $rk(A) \leq n - 1$  as the rows of  $A$  sum up to zero. Let  $A_0$  be a matrix obtained from  $A$  by removing an arbitrary row. We shall establish the following.

**Theorem 19** (The Matrix-Tree Theorem). *The total number of spanning trees in  $G$ ,  $k(G)$ , equals  $\det(A_0 A_0^T)$ .*

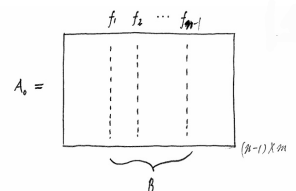
$$\text{when } n=2, \quad A = \begin{bmatrix} 1 \\ -1 \end{bmatrix} \quad \text{vs} \quad \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

The proof relies heavily on the following lemma.

$$\Rightarrow \det(A, A_s^T) = 1.$$

**Lemma 19.1.** *Let  $B$  be an  $(n - 1) \times (n - 1)$  submatrix of  $A_0$ . Then*

$$\det(B) = \begin{cases} \pm 1 & \text{if the spanning subgraph } H \text{ consisting of all the edges} \\ & \text{corresponding to columns in } B \text{ is a spanning tree of } G; \\ 0 & \text{otherwise.} \end{cases}$$



$\Rightarrow H = (V, F)$ , where  $F = \{f_1, f_2, \dots, f_{|B|}\}$ .  
The subgraph corresp. to  $B$ .



*Proof.* Without loss of generality, we assume  $A_0$  is obtained from  $A$  by deleting  $n^{\text{th}}$  row.

Let us prove by induction on  $n$ . For  $n = 2$ , the assertion is trivial. So we proceed to the induction step, and distinguish between two cases.

*Case 1.* Some  $v_i$ , with  $i \neq n$ , has degree 1 in  $H$ .

According to the definition of the incidence matrix, the  $i^{\text{th}}$  row of  $B$  contains exactly one nonzero entry,  $\pm 1$ , and all other entries in this row belonging to  $B$  are zero. Now expand  $\det(B)$  by this row. The resulting  $(n-2) \times (n-2)$  determinant  $\det(B')$  will correspond to  $H - v_i$  in the same way as  $\det(B)$  does to  $H$ , because  $H$  is a spanning tree of  $G$  iff  $H - v_i$  is a spanning tree of  $G - v_i$  and  $|\det(B)| = |\det(B')|$ . The desired statement follows from the induction hypothesis.

▷ *Case 2.* No vertex of  $H$  has degree 1, except possibly  $v_n$ .

Since  $H$  contains  $n-1$  edges in total, by the **handshaking theorem**, there exists a vertex  $v_j$  which has degree zero in  $H$ . If  $v_j \neq v_n$  then the row of  $B$  corresponding to  $v_j$  is a zero vector, and hence  $\det(B) = 0$ ; if  $v_j = v_n$  then each column of  $B$  contains a 1 and a  $-1$ . So the sum of all rows of  $B$  is 0, implying  $\det(B) = 0$ .  $\square$

To prove the theorem, we also need the following well-known formula about the determinant of the product of two matrices.

**Binet-Cauchy Theorem.** If  $Q$  and  $R$  are  $k \times m$  and  $m \times k$  matrices, respectively, with  $k < m$ , then the determinant of the product

$$\det(QR) = \sum_{\substack{I \subseteq \{1, 2, \dots, m\} \\ |I| = k}} \det(Q_I) \cdot \det(R_I),$$

where  $Q_I$  (resp.  $R_I$ ) is the  $k \times k$  submatrix of  $Q$  (resp.  $R$ ) determined by the columns (resp. rows) in  $I$ .  $\square$

*Proof of Theorem 19.* According to the **Binet-Cauchy theorem**, we have

$$\det(A_0 A_0^T) = \sum \det(B) \cdot \det(B^T),$$

where  $B$  ranges over all  $(n-1) \times (n-1)$  submatrices of  $A_0$ . From Lemma 19.1 we see

$$\det(B) \cdot \det(B^T) = \begin{cases} 1 & \text{if } B \text{ corresponds to a spanning tree,} \\ 0 & \text{otherwise.} \end{cases}$$

Hence  $k(G) = \det(A_0 A_0^T)$ .  $\square$

To facilitate easy computation, let us give an explicit *description* of  $A_0 A_0^T$ :

$$\text{the } (i, j) \text{ entry of } A_0 A_0^T = \begin{cases} \text{the degree of } v_i \text{ in } G & \text{if } i = j; \\ -1 & \text{if } v_i \text{ and } v_j \text{ are adjacent;} \\ 0 & \text{otherwise.} \end{cases}$$



**Theorem 20 (Cayley).**  $k(K_n) = n^{n-2}$ .

*Proof.* Let  $v_1, v_2, \dots, v_n$  be the vertices of  $K_n$  and let  $A_0$  correspond to  $v_1, v_2, \dots, v_{n-1}$ . Then

$$A_0 A_0^T = \begin{pmatrix} n-1 & -1 & \dots & -1 \\ -1 & n-1 & \dots & -1 \\ \vdots & \vdots & \ddots & \vdots \\ -1 & -1 & \dots & n-1 \end{pmatrix}_{(n-1) \times (n-1)} \quad -J + nI$$

Since  $\det(A_0 A_0^T) = n^{n-2}$ , the statement follows from the matrix-tree theorem.  $\square$

in page 31. for case 2

Handshaking theorem let  $G = (V, E)$  be a graph. then

$$\sum_{v \in V} d_G(v) = 2|E|.$$



Assume the contrary:  $d_H(v_i) \geq 1 \quad \forall 1 \leq i \leq n$  and  $d_H(v_i) \neq 1 \quad \forall 1 \leq i \leq n-1$ . Then  $d_H(v_i) \geq 2 \quad \forall 1 \leq i \leq n-1$ .  
do

$$2(n-1) = 2|E(H)| = \sum_{i=1}^n d_H(v_i) \geq 2(n-1) + 1,$$

a contradiction.  $\square$

**Binet-Cauchy theorem**

$$Q = \begin{bmatrix} 2 & 3 & -1 \\ 1 & 1 & 2 \end{bmatrix}_{2 \times 3}, \quad R = \begin{bmatrix} 3 & -1 \\ 0 & 1 \\ -1 & 2 \end{bmatrix}_{3 \times 2}$$

$$QR = \begin{bmatrix} 7 & -1 \\ 1 & 4 \end{bmatrix}$$

$$\det(QR) = 29.$$

$$\sum_{\substack{I \subseteq [3] \\ |I|=2}} \det(Q_I) \cdot \det(R_I)$$

$$I: \{1, 2\}, \{2, 3\}, \{3, 1\}$$

$$= \begin{vmatrix} 2 & 3 \\ 1 & 1 \end{vmatrix} \cdot \begin{vmatrix} 3 & -1 \\ 0 & 1 \end{vmatrix} + \begin{vmatrix} 3 & -1 \\ 1 & 2 \end{vmatrix} \cdot \begin{vmatrix} 0 & 1 \\ -1 & 2 \end{vmatrix} + \begin{vmatrix} 2 & -1 \\ 1 & 2 \end{vmatrix} \cdot \begin{vmatrix} 3 & -1 \\ -1 & 2 \end{vmatrix}$$

$$= -1 \times 3 + 7 \times 1 + 5 \times 5$$

$$= 29.$$

$$(i, j) \text{ entry of } A_0 A_0^T = a_i^T \cdot a_j = \sum_{k=1}^m a_{ik} \cdot a_{jk} \quad (*)$$

$$1. \text{ if } i=j, \text{ then } * = \sum_{k=1}^m a_{ik} \cdot a_{ik} = \sum_{k=1}^m a_{ik}^2$$

# of nonzero entries in row  $i$  of  $A_0$

$$2. \text{ if } i \neq j, \text{ then } a_{ik} \cdot a_{jk} \neq 0$$

$$\text{if and only if } a_{ik} = 1, a_{jk} = -1 \text{ or } a_{ik} = -1, a_{jk} = 1$$

$$\text{if and only if } a_{ik} \cdot a_{jk} = -1$$

$$\text{if and only if } e_j \text{ is an edge between } v_i \text{ and } v_j$$

since  $G$  is a simple graph,  $\exists$  at most one

edge between vertices  $v_i$  and  $v_j$

so  $* \neq 0$  if and only if  $\exists$  exactly one  $k$  with

$$1 \leq k \leq m \text{ such that } a_{ik} \cdot a_{jk} = -1$$

$$\text{if and only if } (*) = -1$$